# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

HORMEL FOODS CORPORATION, an	ıd
HORMEL FOODS, LLC,	

Petitioners,

V.

SPAM ARREST LLC,

Registrant.

Cancellation No. 92,042,143

**Mark: SPAM ARREST** 

Reg. No.: 2,701,493

Filing Date: November 27, 2001

Registration Date: March 25, 2003

BOX TTAB NO FEE Assistant Commissioner for Trademarks 2900 Crystal Drive Arlington, VA 22202-3513

CERTIFICATE UNDER 37 C.F.R. § 1.	8:
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The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service, "Express Mail Post Office to Addressee" in an envelope addressed to: BOX TTAB NO FEE, Assistant Commissioner for Trademarks, 2900 Crystal Drive, Arlington, VA 22202-3513, on May 27, 2004.

By

Diana A

# **REGISTRANT'S MOTION FOR SUMMARY JUDGMENT**

Registrant, Spam Arrest LLC ("Registrant"), hereby moves for summary judgment, and requests that the Trademark Trial and Appeal Board (the "Board") dismiss the above captioned proceeding and hold that Registrant's mark SPAM ARREST, Reg. No. 2,701,493 (the "Mark"), is entitled to remain on the Principal Register of the United States Trademark Office.

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I. SUMMARY OF ARGUMENT

Spam Arrest LLC ("Registrant") owns the trademark, SPAM ARREST®, for computer

software designed to eliminate unsolicited commercial email, pursuant to United States Trademark

Registration No. 2,701,498 (the "Mark"). Petitioners Hormel Foods Corporation and Hormel Foods,

LLC (collectively, "Hormel") own the trademark, SPAM®, for canned meat and related goods. In

their Petition for Cancellation ("Petition"), Hormel alleges that Registrant's SPAM ARREST® Mark

should be cancelled. As a matter of law, none of Hormel's arguments provides a sufficient basis for

cancelling the Mark. Accordingly, the Petition should be dismissed.

Hormel's first argument is Registrant's Mark creates a likelihood of confusion with Hormel's

SPAM® trademark. Petition, ¶8-9. Specifically, Hormel contends consumers will assume that Hormel

is the source of Registrant's product or has given that product its endorsement. As even Hormel

admits, however, the word "spam" is a generic term when used in reference to email. Hormel has

acquiesced to this generic use of "spam". Dozens of software vendors now use the word "spam" in

their trademarks as a generic term, and Hormel cannot prevent them from using a term that has fallen

into the linguistic commons.

No reasonable person would confuse canned meat with computer software, and virtually all

references to "spam" on the Internet mean unsolicited commercial email, not "canned meat."

Registrant sells its product exclusively over the Internet, while the vast bulk of Hormel's SPAM

product is sold in grocery stores. Hormel may not, on the one hand, admit that "spam" is generic for

unsolicited email; and then, on the other hand, deny software vendors the right to use that term as part

of a brand name for a product relating to email.

Hormel's second argument is use of Registrant's Mark "dilutes the distinctive quality of

Petitioners' SPAM trademark and family of SPAM marks." Petition, ¶10. A dilution argument may

involve claims of "blurring" or "tarnishment." Hormel has produced no evidence of actual dilution

attributable to Registrant – accordingly, its dilution claim fails as a matter of law. Moreover, the use of

Registrant's mark cannot blur Hormel's SPAM mark because the marks are not "substantially similar".

Blurring occurs when there is a threat that the use of one mark will cause another mark to "lose its

ability to serve as a unique identifier of the plaintiff's product". All parties acknowledge that

Hormel's SPAM mark has already lost that ability. The word "spam" is both a registered

trademark for meat products and a generic term referring to a type of email. As such, it no longer

"uniquely identifies" Hormel's product – rather, it identifies both Hormel's product and a category of

email. The "blurring" process is over, even if consumers associate software marks including the word

"spam" with Hormel's marks. Hormel's tarnishment argument fails as well. Tarnishment occurs when

a famous mark is associated with an "inferior or offensive product or service," and there is nothing

"inferior or offensive" about software that keeps unwanted email out of Internet users' in-boxes. To

the contrary, there is considerable evidence that Hormel's SPAM mark is already associated with an

"inferior or offensive product."

Hormel's third argument is the Mark is "generic or merely descriptive of Registrant's services."

Petition, ¶13. Hormel cannot meet the relevant standard to prove either of these claims. A generic

term is "one that is commonly used as the name of a kind of goods," and Hormel has no evidence that

anyone uses the term "spam arrest" to refer to anything other than Registrant's product. Nor is there

any credible evidence that Registrant's Mark is "merely descriptive" – as the Trademark Office has

determined, consumers must exercise some thought and imagination to determine what product the

Mark signifies.

All of Hormel's arguments fail as a matter of law. Therefore, Registrant is entitled to summary

judgment.

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II. UNDISPUTED MATERIAL FACTS

This is the story of a successful Minnesota canned meat vendor, six British comedians, a

rogues' gallery of unscrupulous email marketers, and a brave legion of computer programmers

determined to defend the public against an onslaught of unwanted email.

THE POPULARITY OF HORMEL'S CANNED MEAT PRODUCT Α.

Since 1937, Hormel has sold billions of cans of its SPAM canned meat product, made of pork

shoulder and ham. The United Kingdom is one of the largest foreign markets for Hormel's canned

meat product. See Becky Ebenkamp, Haute Hormel, BRANDWEEK, Feb. 3, 1997, at 25 (Ex. 2).

"Spam's shelf stability helped popularize the brand in Britain during World War II. Even Margaret

Thatcher recalled serving the 'wartime delicacy'... in 1943 on Boxing Day..." Id. An American soldier

who traveled on the Queen Elizabeth during World War II was served Spam for breakfast "[e]very

morning for the eight days it took to cross." Another person recalls restaurant meals "during the later

days of the war" when she would select items from a lengthy menu only to hear the waiter reply, "Spam

only. There's a war on, you know!"<sup>3</sup>

B. THE MONTY PYTHON SKIT

The ubiquitous Spam eventually became the subject of one of the most popular comedy

sketches of all time. On December 15, 1970, the British comedy sextet Monty Python performed a

Spam-related skit on their television show, "Monty Python's Flying Circus" (the "Monty Python Skit").4

This skit involves the hapless Mr. and Mrs. Bun – two ordinary Britons looking for some breakfast –

and "a group of Vikings [who] chant the word spam in a cafe whose breakfast menu is devoid of all

<sup>1</sup> Dirk Johnson, A Feast from the Can: Honors for Spam at 50, N.Y. TIMES, Jul. 5, 1987, at 12 (Ex. 1).

<sup>2</sup> Mikel Stettner, *About Spam*, N.Y. TIMES, Jul. 24, 1994, at SM4 (Ex. 3).

<sup>3</sup> Hana Stranska, *Untitled Letter to the Editor*, N.Y. TIMES, Jul. 24, 1994, at SM4 (Ex. 4).

<sup>4</sup> See 2 THE COMPLETE MONTY PYTHON'S FLYING CIRCUS: ALL THE WORDS 27-29 (Pantheon, 1989)

(Ex. 5).

else".5

C. SPAM BECOMES A VICTIM OF "GENERICIDE"

Years after its first broadcast, the Monty Python Skit took on an entirely new meaning as

Internet users and journalists used it as a metaphor for an avalanche of unwanted email. In the mid-

1990s, as the Internet grew in popularity, there arose a nefarious group of marketers whose chief

weapons were ruthless efficiency and an almost fanatical devotion to the use of email. A 1994 article

in New Scientist described their method of "posting advertising messages to several [Usenet]

newsgroups, a practice known as spamming." In 1995, U.S. News and World Report defined

"spamming" as "[s]ending out on the Internet the cyberspace equivalent of junk mail – dispatching a

barrage of advertising or political messages at random. The term is said to have been inspired by an

old 'Monty Python' sketch in which 'Spam' was repeated again and again."<sup>7</sup>

Ten years have passed since the first use of "spam" to describe a type of email. The word now

appears in *Merriam-Webster's Collegiate Dictionary*:

**spam** \spam\ n [fr. a skit on the British television series Monty Python's Flying Circus in which chanting of the word *Spam* (trademark for a canned meat product) overrides the other dialogue] (1994): unsolicited usu. commercial Email sent to a large number of

addresses 8

Countless magazine articles discuss the problem of "spam" on the Internet. Congress and various state

<sup>5</sup> Verizon Online Services, Inc. v. Ralsky, 203 F.Supp.2d 601, 606 n. 1 (E.D.Va. 2002).

<sup>6</sup> Charles Arthur, How to Turn Spam into Cash on the Internet, NEW SCIENTIST, Oct. 22, 1994, at 23 (Ex. 6).

<sup>7</sup> Gerald Parshall, Buzzwords: The Language That Will Shape Our World in 1996, U.S. NEWS AND WORLD REPORT, Dec. 25, 1995, at 86 (Ex. 7).

8 MERRIAM-WEBSTER'S COLLEGIATE DICTIONARY (10th ed. 1999) (emphases original) (Ex. 8). See also

MICROSOFT ENCARTA COLLEGE DICTIONARY (2001) (cited in Verizon, supra, 203 F.Supp.2d at 606) (defines "spam"

as "an unsolicited, often commercial, message transmitted through the Internet as a mass mailing to a large number of

recipients").

<sup>9</sup> See, e.g., Wilson Smith, How to Get Rid of All Your Junk Email, MONEY, Jul. 1996, at 21 ("there's a new kind of Spam clogging the Internet that users are finding hard to stomach") (Ex. 9); Samantha Miller, Spam Wars, PEOPLE WEEKLY, Nov. 18, 1996, at 39 (discusses "computer mailboxes...flooded with junk email touting get-rich-quick schemes and miracle cures - up to a dozen such messages a day for some people. Such so-called spam, named after a Monty Python sketch in which the word is shouted ad nauseam, is now America Online's top user complaint") (Ex. 10); Ed Bott, Internet Lies, PC/COMPUTING, Oct. 1996, at 189 ("Spamming is the spiritual descendant of high-pressure, boiler-room telephone sales

scams...") (Ex. 11).

legislatures have passed laws that refer to unsolicited commercial email as "spam." <sup>10</sup>

Hormel has now, like many other trademark owners in the past, become a victim of its own success. Its canned meat product was perhaps <u>too</u> popular with Britons during the Second World War, and Mrs. Bun's anguished wail, "I DON'T LIKE SPAM!" has echoed around the world and become the rallying cry for millions of perturbed email users whose in-boxes are filled with a daily salvo of unwanted advertisements. The public has appropriated the word "spam" for use in describing a category of email. Accordingly, the mark no longer refers exclusively to Hormel's canned meat product. Used in reference to email, the term is generic.

Indeed, Hormel has admitted that "spam" is generic when used in reference to email. As of this writing, Hormel's website at <a href="http://www.spam.com/ci/ci\_in.htm">http://www.spam.com/ci/ci\_in.htm</a> provides a "Statement on SPAM Use" advising that "We do not object to the use of this slang term to describe [unsolicited commercial email]" (Ex. 12). (Emphasis added.) Hormel lawyers have written to individuals acquiescing to the generic use of "spam". See Ex. 13 ("We have no objection of [sic] your use of the slang term 'spam'" provided the term appears in all lower case letters). In discovery depositions, all of Hormel's witnesses admitted that the term "spam" is now generic with respect to that certain type of email. A Hormel spokesperson has even said that the "confusion over SPAM (the meat) and spam (the junk Email) is actually helping the [Hormel] brand" because use of the word "spam" has now "crept into popular culture."

<sup>&</sup>lt;sup>10</sup> See, e.g., Controlling the Assault of Non-Solicited Pornography and Marketing Act of 2003 ("CAN-SPAM Act"), Pub. L. No. 108-187; CAL. BUS. & PROF. CODE § 17529 (West 2003) (refers to unsolicited commercial email as "spam" and indicates that spam is an expensive, time-consuming "annoyance"); VA. CODE ANN. §18.2-152.3:1 (Michie 2003) (entitled "Transmission of unsolicited bulk electronic email (spam); penalty").

<sup>&</sup>quot;[E]ven when created words for new products have become strong marks, the public's pervasive use of these marks sometimes creates a real risk that their distinctiveness will disappear, a process Professor McCarthy terms 'genericide,' as occurred with earlier trademarks such as 'Thermos,' 'Aspirin,' 'Cellophane,' and 'Escalator.'" America Online, Inc. v. AT&T Corp., 57 U.S.P.Q.2D 1902, 243 F.3d 812, 821 (4th Cir. 2001).

<sup>&</sup>lt;sup>12</sup> See Deposition of George Mantis ("Mantis Dep.") (Ex. 14), p. 47, lines 21-24; Deposition of Gregory Carpenter ("Carpenter Dep.") (Ex. 15), p. 17, lines 9-11; Deposition of Nicholas Meyer ("Meyer Dep.") (Ex. 16), p. 23, lines 17-25; Deposition of Kevin Jones ("Jones Dep.") (Ex. 17), p. 28, lines 7-11.

D. WIDESPREAD USE OF THE TERM "SPAM" IN BRAND NAMES FOR SOFTWARE TO BLOCK

UNSOLICITED COMMERCIAL EMAIL

As spam on the Internet became more prevalent a growing number of entrepreneurs, including

Registrant, began to create software applications to monitor and filter out unwanted email. Many of

these vendors have included the now-generic word SPAM in their product names, and they have sought

to protect their trademark rights in those names. See Ex. 19. Most of these applications are for

software that filters out spam, or for services relating to the control of spam (including an application

by the United States government itself)<sup>14</sup>.

Registrant's Mark is the first of these marks to achieve Principal Registration, which it did on

March 25, 2003 for "computer software, namely, software designed to eliminate unsolicited

commercial electronic mail."

E. DISPUTE BETWEEN HORMEL AND REGISTRANT

On August 30, 2002, before Registrant's SPAM ARREST® Mark was placed on the Principal

Register, Hormel filed Opposition No. 9153159 before the Board. After the Mark's registration, the

parties filed a Stipulated Motion Requesting Suspension of Opposition and Proceeding with

Cancellation ("Stipulated Motion"). The Stipulated Motion provided that the parties would

"incorporate the discovery from the Opposition to the Cancellation proceeding."

The following is an analysis of the most relevant evidence from the opposition and cancellation

proceedings between Hormel and Registrant:

1. **No Evidence of Actual Confusion** 

Nicholas Meyer is a senior product manager at Hormel. Hormel designated him as "at least as

knowledgeable as anyone else" regarding actual or potential consumer confusion between the sources

of Hormel's and Registrant's products. Meyer Dep. (Ex. 16), p. 10, lines 4-9; p. 20, lines 15-22. At

<sup>14</sup> The United States Federal Trade Commission filed an application to register NATIONAL DO NOT SPAM REGISTRY (Serial No. 78347112). Network Associates owns SPAMKILLER, Reg. No. 2762980, for "computer software for

detecting, removing, blocking, responding to, and evading electronic communications.

his deposition, Meyer indicated that he did not have personal knowledge of any actual confusion. Id.,

p. 21, line 15, to p. 22, line 5. Meyer said the closest information relating to actual confusion was

derived from customer "verbatims," which are records of emails, phone calls, and letters received by

Hormel; and that any such verbatims relating to Registrant had been produced in discovery in the above

captioned action. <u>Id.</u>, p. 22, line 6, to p. 23, line 10.

It is notable that Hormel has produced **only one message** that could conceivably indicate actual

consumer confusion, and the tone and language of that message indicates the message was sent as a

joke. See Ex. 20 (H007370). Notably, even this one message was produced after Hormel filed its

opposition to Registrant's Mark. The "verbatims" Hormel produced are all dated between

December 1, 2002 and December 15, 2003. 15

2. **Reputation of Hormel's Product** 

The Hormel SPAM products are viewed by customers as unsavory and low class. See Exs.

21-27 (Hormel's customer surveys) and Ex. 28 (Mantis Survey; negative comments about Hormel's

product are highlighted). The documents produced by Hormel indicate that any decline in the

reputation of Hormel's product cannot fairly be attributed to Registrant.

3. Hormel and Registrant Sell Different Products in Different Marketing and

**Distribution Channels** 

For decades, Hormel's SPAM mark has been predominantly associated with canned meat.

Registrant's Mark is registered for "computer software, namely, software designed to eliminate

unsolicited commercial electronic mail." The Hormel product is very different from the Spam Arrest

product.

Hormel produced a list of all of its retail outlets (Ex. 29). None of those vendors specialize in

selling computer software, nor do any primarily sell their goods over the Internet. In contrast,

Registrant sells its product exclusively over the Internet, and its consumers purchase most of their

<sup>15</sup> See pages H007326 to H007412 of Hormel's discovery responses (Ex. 20).

products via the Internet. (Declaration of Cameron Elliott ("Elliott Decl.") ¶ 4.) It is impossible to buy

Registrant's product in the brick-and-mortar stores that comprise the vast majority of Hormel's retailer

list.

When asked whether there are Internet sites that sell both Registrant's product and Hormel's,

Nick Meyer stated that it was possible that consumers could buy both products on <ebay.com>, but

could not name any other Internet sites where consumers might be able to purchase both products.

Meyer Dep. (Ex. 16), p. 93, lines 10-20. In fact, Spam Arrest does not and has never sold its product

on <ebay.com>. (Elliott Decl. ¶ 7.)

4. The Mantis Survey

Between December 4, 2003 and December 17, 2003, Hormel's expert George Mantis

conducted a survey that purported to determine whether Registrant's Mark has acquired secondary

meaning, and whether the use of Registrant's Mark dilutes the distinctiveness of Hormel's SPAM mark

(the "Mantis Survey"). Hormel conducted this survey exclusively in shopping malls. Mantis Survey, p.

3 (Ex. 28) However, Registrant does not sell its product in malls. (Elliott Decl. ¶ 3.) A review of the

Mantis Survey indicates that Mantis surveyed an irrelevant group, arbitrarily reduced the percentage of

respondents who associated SPAM ARREST® with a single company, and structured his survey

questions in a way that maximized Hormel's chances of obtaining its desired results. (Declaration of

Lea Knight, Ex. B.)

5. Hormel's Admission That Third Party Use of the Word Spam Has "Whittled Away" at the Distinctiveness of Its Mark

Hormel's lawyer Kevin Jones has admitted that at least eight other marks besides Registrant's

have "whittled away" at the strength of Hormel's mark. Jones Dep. (Ex. 17), p. 80, line 21, to p. 103,

line 10. Hormel's expert witness, Gregory Carpenter, also conceded that third party marks containing

the word "spam" have "evoke[d] the Hormel brand, and therefore, reduce[d] some of its meaning."

Carpenter Dep. (Ex. 15), p. 43, line 17, to p. 46, line 3. In addition, Carpenter testified that the public's

generic use of the word "spam" to describe a certain type of email has caused Hormel's mark to become "less unique and therefore less valuable." <u>Id.</u>, p. 21, line 10, to p. 22, line 14.

III. ARGUMENT

The United States Patent and Trademark Office issued a certificate of registration for

Registrant's SPAM ARREST® Mark, and has determined the Mark merits Principal Registration. This

certificate of registration is prima facie evidence that the Mark is valid, that Registrant is the owner, and

that Registrant has the exclusive right to use the mark in connection with the goods or services specified

in the registration. 15 U.S.C. §1057(b). Thus, Hormel has the burden of establishing valid grounds for

cancelling Registrant's mark. West Florida Seafood v. Jet Restaurants, Inc., 31 U.S.P.Q.2D 1660, 31

F.3d 1122, 1125 (Fed. Cir. 1994).

Registrant is entitled to summary judgment if there is no genuine issue of material fact

regarding the arguments Hormel raises in favor of cancellation. Fed.R.Civ.P. 56(c); see also Armco,

<u>Inc. v. Cyclops Corp.</u>, 791 F.2d 147, 149, 229 U.S.P.Q. 721, 722 (Fed.Cir. 1986) (to defeat the motion

the non-movant must present sufficient evidence to show an evidentiary conflict as to a material fact in

dispute). As discussed below, Hormel cannot meet the burden of proving a material conflict of

evidence. Accordingly, the Board should dismiss this action.

A. HORMEL CANNOT PREVENT SPAM ARREST OR OTHER THIRD PARTIES FROM USING THE

GENERIC TERM "SPAM" TO DESCRIBE THEIR GOODS AND SERVICES

"A generic term is the common descriptive name of a class of goods or services, and, while it

remains such common descriptive name, it can never be registered as a trademark . . ." H. Marvin

Ginn Corp. v. International Asso. of Fire Chiefs, Inc., 228 U.S.P.Q. 528, 782 F.2d 987, 989 (Fed.Cir.

1986). "The generic name of a thing is in fact the ultimate in descriptiveness." Id., see also In re

Northland Aluminum Products, Inc., 777 F.2d 1556, 227 U.S.P.Q. 961, 963 (Fed. Cir. 1985). The law

of trademarks "protects for public use those commonly used words and phrases that the public has

adopted, denying to any one competitor a right to corner those words and phrases by expropriating

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them from the public 'linguistic commons.'" America Online, Inc. v. AT&T, 57 U.S.P.Q.2D 1902,

243 F.3d 812, 821 (4th Cir. 2001). Even an incontestable mark "does not confer any rights to a phrase

that was generic at the outset or has become so through use." Te-Ta-Ma Truth Foundation v. World

Church of the Creator, 297 F.3d 662, 63 U.S.P.Q.2d 1760 (7th Cir. 2002) (emphasis added).

1. Spam is Generic for That Certain Type of Email

The word "spam" is generic because it is the ultimate in descriptiveness for unsolicited

commercial email. As part of the public "linguistic commons", neither Spam Arrest nor any other

party may be denied the right to use that word in association with products relating to email. Hormel

has admitted "spam" is generic for unsolicited commercial email; the term "spam" now appears in

dictionaries; countless magazine and newspaper articles refer to "spam" in the generic sense; and

government officials regularly refer to unwanted email as "spam." Consequently, anyone can use

"spam" as part of a brand concerning email related goods and services.

Hormel's public Web site "Statement on SPAM Use" represents an unsuccessful attempt to

"convert the world to its gospel" and to restrict the public's use of a word that appears in dictionaries.

See DuPont Cellophane Co., Inc. v. Waxed Products Co., 85 F.2d 75 (2d Cir. 1936) ("It...makes no

difference what efforts or money the DuPont Company expended in order to persuade the public that

'cellophane' means an article of DuPont manufacture. So far as it did not succeed in actually

converting the world to its gospel it can have no relief"). To allow Hormel to prevent others from

using a generic term like "spam" would be tantamount to allowing Hormel to appropriate for itself

words in the English language. "No manufacturer can take out of the language a word, even a slang

term, that has generic meaning as to a category of products and appropriate it for its own trademark

use." Harley-Davidson, Inc. v. Grottanelli, 49 U.S.P.Q.2D 1458, 164 F.3d 806, 810 (2d Cir. 1999)

(citing Abercrombie & Fitch Co. v. Hunting World, Inc., 189 U.S.P.Q. 759, 537 F.2d 4, 9 (2d Cir.

1976)). If a term is used both as a trademark and as a generic term, the trademark owner may not bar

the generic use. King-Seeley Thermos Co. v. Aladdin Industries, Inc., 169 U.S.P.Q. 85, 320 F.Supp.

1156, 1158 (D.Conn. 1970). In this case, "spam" is a generic term for unsolicited commercial email

services.

Apple Computer, Inc. owns the famous trademark APPLE for computers and related goods,

and may prohibit third parties from using APPLE in association with that class of goods. However,

Apple Computer cannot stop Celestial Seasonings, Inc. from offering CRANBERRY APPLE

ZINGER® brand tea, Can Well Nursery, Inc. from offering ADAMS APPLE® brand apple trees, or

Arabica Funding, Inc. from offering HOT APPLE BLAST® brand apple cider beverages. See Ex. 30.

Similarly, Hormel owns the trademark SPAM for canned meat, and may prohibit third parties from

using SPAM in association with that class of goods. Hormel cannot, however, stop Registrant from

offering SPAM ARREST® brand spam filtering software.

When a word used to denote origin in one context becomes generic in another context, courts

will refuse to enjoin the use of the word in the context in which it has become generic. In Lucasfilm

Ltd. v. High Frontier, 227 U.S.P.Q. 967, 622 F.Supp. 931, 933 (D.D.C. 1985), the creator of the

movie "Star Wars" brought a trademark infringement action against public interest groups who used

the term STAR WARS to refer to then-President Reagan's Strategic Defense Initiative ("SDI"). The

court refused to enjoin defendants' use of the words "star wars", noting that the term was widely used

by journalists to describe the SDI. Id. Judge Richard Posner later commented on the Lucasfilm

decision:

If someone bought rights to the SDI from the U.S. government and sold the anti-missile

program to another country under the name 'Star Wars,' nothing in the Lucasfilm opinion or in the principles of trademark law would entitle Lucasfilm to enjoin that use of the

name. The name would have become attached by the public to another product as well

as to the movies, just as happened here.

Illinois High School Ass'n v. GTE Vantage Inc., 40 U.S.P.Q.2D 1633, 99 F.3d 244, 248 (7th Cir.

1996). Hormel is in the same position as Lucasfilm: It may not prevent others from using a generic

name for their goods and services, even though that name is identical to its trademark.

2. Public Policy Disfavors Restrictions on the Use of Generic Terms

Hormel is requesting the Board to stop third parties from using the word "spam" as part of an

identifier of source, even when exclusivity to use "spam" is disclaimed. In doing so, Hormel is

attempting to place an enormous restraint on First Amendment rights to free speech – a restraint the

court soundly rejected in <u>Illinois High School Association</u>, *supra*. In that case, the Seventh Circuit

affirmed an order denying an injunction against defendant's use of "March Madness", finding that the

media had appropriated "March Madness" to describe the NCAA's basketball tournament before

defendant began labeling its products with the term. Id. at 246. The Seventh Circuit stated as follows:

[March Madness is] a name that the public has affixed to something other than, as well as, the Illinois high school basketball tournament. A trademark owner is not allowed to withdraw from the public domain a name that the public is using to denote someone else's good or service, leaving that someone and his customers speechless... It is an issue of first impression, and we think that for the sake of protecting effective communication it should be resolved against trademark protection, thus assimilating dual-use or multipleuse (sic) terms to generic terms.

<u>Id</u>. at 247. In this case, the media has used the word "spam" in reference to bulk email for a decade.

Any rights Hormel has in the SPAM trademark must be balanced against free speech issues. As

indicated above, the term "spam" is now generic with respect to that certain type of email, and Hormel

therefore has no trademark rights at all regarding the use of SPAM in reference to email or

identifying the source of products used to eliminate spam. The public's right to free speech must

prevail, and Hormel cannot prevent others from incorporating the generic term SPAM into their

trademarks. Registrant should not be required to change its Mark to an unwieldy phrase like

UNSOLICITED COMMERCIAL EMAIL ARREST. Use of the generic term SPAM is essential to

inform the public that software products bearing marks including the word "spam" are related to email.

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B. THERE IS NO LIKELIHOOD OF CONFUSION BETWEEN THE TWO MARKS

The existence of likelihood of confusion is an inquiry involving the application of various

factors. In re E. I. DuPont DeNemours & Co., 177 U.S.P.Q. 563, 476 F.2d 1357 (C.C.P.A. 1973)

(cited favorably in In re Coors Brewing Co., 68 U.S.P.Q.2D 1059, 343 F.3d 1340 (Fed.Cir. 2003)).

These factors are: (1) the similarity of the marks, (2) the similarity of the goods or services, (3) the

similarity of the parties' trade channels, (4) consumer sophistication, (5) the fame of the prior mark, (6)

the number and nature of similar marks in use on similar goods, (7) the nature and extent of any actual

confusion, (8) the duration of concurrent use without actual confusion, (9) the variety of goods on

which the marks are used, (10) the "market interface" between the applicant and the owner of a prior

mark, (11) the extent to which applicant has a right to exclude others from use of its mark on its goods,

(12) the extent of potential confusion, and (13) "[a]ny other established fact probative of the effect of

use." DuPont, 476 F.2d at 1361.

The fourth DuPont factor (customer sophistication) is neutral; and the tenth factor (market

interface) is inapplicable. The remaining factors weigh decisively in Registrant's favor.

1. The Parties' Marks are Different

In Paco Sport, Ltd. v. Paco Rabanne Parfums, 54 U.S.P.Q.2D 1205, 86 F.Supp.2d 305, 315-16

(S.D.N.Y. 2000), two parties used the term PACO in their trademarks. The court held that use of the

term PACO was not likely to cause confusion because "[t]he word PACO on [one party's] products

[was] always accompanied by the word RABANNE." <u>Id</u>. In this case, the word SPAM in Registrant's

Mark is always accompanied by the word ARREST. Hormel's and Registrant's trademarks are easily

distinguishable. 16

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<sup>16</sup>See also J. THOMAS MCCARTHY, MCCARTHY ON TRADEMARKS AND UNFAIR COMPETITION §23:49

 $(4^{th} \text{ ed. } 1998)$  ("If a common portion of the two conflicting marks is a public domain generic name, the emphasis of enquiry

should be upon the confusing similarity of the non-generic portion...")

phone: (206) 274-2800 fax: (206) 274-2801 2. The Parties' Products Are Different

Courts conclude that a likelihood of confusion does not exist where the two goods or services

are so unrelated that consumers are unlikely to confuse the origin of the two products. Federal Express

Corp. v. Federal Espresso, Inc., 1998 U.S.Dist. Lexis 15607 \*27, No. 97-CV-1219 (RSP/GJD)

(N.D.N.Y. Sept. 30, 1998) (providing that confusion was unlikely since coffee shop related products

and services were dissimilar enough to plaintiff's overnight delivery service). Hormel produces lunch

meats and related food products. Registrant, on the other hand, produces software and related services

for the purpose of blocking unsolicited email. There is little likelihood that consumers will view canned

meat and software as originating from the same source.

In fact, Hormel's efforts to enforce its SPAM mark for products which are unrelated to

luncheon meats have previously been unsuccessful. See Hormel Foods Corp. v. Jim Henson

Productions, Inc., 37 U.S.P.Q.2D 1516, 73 F.2d 497 (2d Cir. 1996). In Hormel Foods, Hormel alleged

that Jim Henson's use of the movie character "Spa'am", a fictional, parodic high priest of a tribe of

wild boars that worshipped Miss Piggy, was likely to result in consumer confusion. The court

concluded that consumer confusion was unlikely because the two products (luncheon meats and a

motion picture) were extremely different. Hormel's other goods and services sold under its "SPAM

family of marks", such as mousepads and clocks, are all associated with Hormel's main product,

luncheon meat. Accordingly, if consumers are unlikely to confuse Registrant's software with Hormel's

canned meat, they are also unlikely to confuse Hormel's secondary products with Registrant's product.

See Hormel Foods, supra, 73 F.2d at 504. Registrant's product is not associated in any way with a

source of pork, and is therefore even less similar to Hormel's product than the movie character pig

named "Spa'am".

3. Hormel and Registrant Use Different Marketing Channels

Hormel and Registrant sell their products through completely different channels. Hormel sells

the vast bulk of its canned meat through brick-and-mortar stores, while Registrant sells its product

exclusively via the Internet. There is virtually no overlap between the channels of marketing and distribution between the two products.<sup>17</sup>

4. The Fame of Hormel's Mark Is Irrelevant, Since the Word Spam Has Become Generic for a Certain Type of Email

"Generic marks... are not entitled to any protection against infringement, **even if they have become famous as marks**," because protecting generic terms would deprive others of "the right to
refer to their goods by name." <u>TCPIP Holding Co. v. Haar Communications, Inc.</u>, 57 U.S.P.Q.2D

1969, 244 F.3d 88, 93 (2d Cir. 2001) (emphasis added). Because the word "spam" is generic for a
certain type of email, Hormel may not deprive others of the right to use it in that context, regardless of

5. Many Other Parties Are Using Marks Containing the Word Spam

As indicated above, many other parties are using trademarks containing the generic term "spam". The widespread third party use of SPAM marks, as well as the near-universal use of the term to describe unwanted email, weigh heavily in Registrant's favor. Any confusion originated long ago, when Hormel failed to prevent the word from falling into the public domain.

6. There Is No Evidence of Actual Confusion

Hormel has not produced any evidence of actual confusion, but rather only proffers a hearsay message it received that was obviously a joke. Hormel received this message after it filed its

Opposition to Registrant's Mark, which would diminish its credibility as evidence even if it were **not** written in a jocular, sarcastic tone. The message constitutes hearsay. Hormel does not know the source of the message, the message has not been verified, and the unknown sender has never been questioned about its intent in sending the message.

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17 The fact that Hormel has a website at <spam.com> does not lead to the conclusion that the parties use common marketing channels; rather, this factor would weigh in Hormel's favor only if Hormel showed that "both parties use the Web as a *substantial* marketing and advertising channel" and that the parties' marks are used "in conjunction with Web-based products." Therma-Scan, Inc. v. Thermoscan, Inc., 63 U.S.P.Q.2D 1659, 295 F.3d 623, 637 (6<sup>th</sup> Cir. 2002) (emphasis original).

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how famous Hormel's mark may be.

7. Hormel's "Family of Marks" Is Unrelated to Commercial Email

Recognition of a family of trademarks "is achieved when the pattern of usage of the common

element is sufficient to be indicative of the origin of the family." J&J Snack Foods Corp. v.

McDonald's Corp., 18 57 U.S.P.Q.2D 1889, 932 F.2d 1460, 1463 (Fed.Cir. 1991). The pattern of

usage for the word "spam" in the context of commercial email is undeniably generic. Hormel does not

sell commercial email services, nor does it sell products designed to manage or filter such email.

Accordingly, Hormel cannot credibly argue that its "family of marks" extends into the sphere of

commerce occupied by Registrant.

Registrant Has the Right to Prevent Others from Using Its Mark 8.

As provided in 15 U.S.C. §1057(b), Registrant has the exclusive right to use the Mark SPAM

ARREST for email filtering software.

9. The Potential for Confusion Is Low

Hormel sells canned meat, primarily in grocery stores. In contrast, Registrant uses a composite

mark containing a term Hormel admits is generic, and uses that Mark to sell computer software

exclusively over the Internet. Confusion is unlikely.

The Board should dismiss Hormel's likelihood of confusion claim on summary judgment.

C. USE OF REGISTRANT'S MARK DOES NOT DILUTE HORMEL'S MARK

To prevail on a dilution claim, the senior user of a famous mark must "demonstrate...that the

junior user's conduct damages the senior's interest in the mark 'by blurring its product identification or

by damaging positive associations that have attached to it." Jet, Inc. v. Sewage Aeration Systems, 49

U.S.P.Q.2D 1355, 165 F.3d 419, 424 (6th Cir. 1999). Blurring "occurs when another's use of a mark

creates the possibility that the mark will lose its ability to serve as a unique identifier of the plaintiff's

product . . . Tarnishment occurs when a famous mark is improperly associated with an inferior or

offensive product or service." Playboy Enterprises v. Netscape Communications Corp., 69 U.S.P.Q.2D

1417, 354 F.3d 1020, 1033 nn. 58-59 (9th Cir. 2004) (cite omitted). To withstand summary judgment,

a party must show that **actual** dilution has occurred, and not simply the likelihood of dilution. <u>Moseley</u>

v. V Secret Catalogue, Inc., 65 U.S.P.Q.2D 1801, 537 U.S. 418, 433, 123 S.Ct. 1115, 155 L.Ed.2d. 1

(2003). Dilution does not occur unless the marks are **substantially** similar. <u>Luigino's</u>, <u>Inc. v. Stouffer</u>

Corp., 50 U.S.P.Q.2D 1047, 170 F.3d 827, 832 (8th Cir. 1999). Moreover, unless the marks are

identical, "the mere fact that consumers mentally associate the junior user's mark with a famous mark

is not sufficient to establish actionable dilution." Moseley, supra, 537 U.S. at 433.

1. There Is No Evidence of Actual Dilution

Hormel has never produced a scintilla of evidence of actual dilution. The Mantis Survey does

not show evidence of actual dilution, and no other documents or testimony of Hormel indicate actual

dilution. Without proof of actual dilution, Hormel's claim fails as a matter of law. Moseley, supra, at

433.

In Moseley, the Supreme Court held that a mere mental association of one mark with another is

**not** enough to establish dilution. A mental link between the marks, however, is the **only** thing the

Mantis Survey establishes:

The study...demonstrates that consumers associate the name "spam arrest" with Hormel's

SPAM product. I conclude that the use of the "spam arrest" name dilutes the

distinctiveness of Hormel' [sic] SPAM trademark.<sup>18</sup>

Under Moseley, this is not proof of dilution. In Moseley, "[t]here [was] a complete absence of

evidence of any lessening of the capacity of the [famous] mark to identify and distinguish goods and

services...". Moseley, supra, at 433.

In this case, the Mantis Survey indicates only that some consumers mentally associate Hormel's

mark with Registrant's, which the Supreme Court has held to be insufficient proof of dilution.<sup>19</sup>

<sup>18</sup> Mantis Survey (Ex. 28), p. 9 (H08543). Gregory Carpenter's report depends entirely on the Mantis Survey and on Carpenter's speculation regarding **future** events, in alleging damage to Hormel's mark. Carpenter Report (Ex. 31), pp. 4-7. Kevin Jones testified that Hormel's blurring claim is based on "logic" and on Hormel's expert testimony. Jones Dep. (Ex. 17), p. 105, line 10, to p. 107, line 17.

<sup>19</sup> Moreover, Mantis concluded that Registrant's mark has "no trademark significance" and that a mark without trademark significance **cannot** dilute a famous mark. Mantis Dep. (Ex. 14), p. 41, lines 17-23 and p. 43, line 15 to p. 44, line 12. He further claimed that the relevant standard is "likelihood of dilution" rather than the **actual** dilution discussed in

Moreover, the marks are **not** substantially similar, and consequently no dilution can take place. Finally, a vast number of journalists, computer users, lexicographers, and legislators have **already** blurred identification by using "spam" generically for ten years. It is impossible for Hormel to single out Registrant as the cause of the blurring.

## 2. There Is No Blurring

<u>i.</u> The Marks Are Not Substantially Similar, Which Precludes a Dilution Claim

In <u>Luigino</u>, *supra*, the court held that there was no "genuine issue for trial on whether the marks [were] similar" in a dilution case involving the marks LEAN CUISINE and LEAN 'N TASTY. <u>Id.</u>, 170 F.3d at 833. The court noted that to support a blurring claim, "the marks must at least be similar enough that a significant segment of the target group of customers sees the two marks as **essentially the same**." <u>Id.</u> at 832 (citing McCarthy, *supra*, §24:90.1) (emphasis added). Hormel has not submitted proof that consumers see SPAM and SPAM ARREST® as "essentially the same"; the Mantis Survey only purports to show that consumers "associate" the two marks. <sup>20</sup> As a matter of law, Hormel's evidence fails to support its dilution claim.

<u>ii.</u> Hormel May Not Resurrect a Generic Mark That Has Lost Its <u>Distinctiveness</u>

"When a trademark becomes generic...[a]n antidilution statute won't resurrect it, since if a mark becomes generic it is no longer distinctive, as the statutes require". <u>Illinois High School Ass'n</u>, supra, 99 F.3d at 247. SPAM has become a generic term with respect to that certain type of email. Because the mark lacks distinctiveness when used to describe email related goods and services, Hormel's attempt to resurrect it with a dilution claim must fail. Hormel has admitted that third party use of the word "spam" has already made Hormel's made less distinctive, so causation cannot fairly be

Moseley, supra Id., p. 104, lines 10-20.

<sup>20</sup> See also Mead Data Central, Inc. v. Toyota Motor Sales, U.S.A., Inc., 10 U.S.P.Q.2D 1961, 875 F.2d 1026, 1029 (2d Cir. 1989) (finding no substantial similarity in a dilution case involving the marks LEXIS and LEXUS); Federal Express Corp., supra, at \*60 (another dilution case holding that "although the marks FEDERAL ESPRESSO and FEDERAL EXPRESS have some similarities, viewed in the context in which the parties use them, the marks are not similar").

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phone: (206) 274-2800 fax: (206) 274-2801 attributed to Registrant. See Section II.E.5, supra.

3. There Is No Tarnishment

> Registrant's Product Bears No "Inferior or Offensive" Connotation i.

Tarnishment occurs when a mark is associated with an "inferior or offensive product or

service." See Playboy Enterprises, supra. Registrant's Mark does not tarnish Hormel's. There is no

"inferior or offensive" connotation involved in stopping unwanted email.<sup>21</sup>

Moreover, there is no evidence that Registrant's product is "inferior or offensive." Registrant's

product **stops** unsolicited email from entering its customers' mailboxes. This is a positive thing.

Hormel contends that because the generic word SPAM means something generally viewed as bad, its

use to describe anti-spam software will cause consumers to think bad thoughts about Hormel's

product. Hormel's argument is similar to a claim that "cold remedy" is a pejorative term because it is

associated with a loathsome illness. Even if this were true – and Hormel has not offered any proof that

it is true – it would be irrelevant because Registrant's product is not "inferior or offensive"; rather, it is

designed to block something that is "inferior or offensive" and which happens to bear the same name

as Hormel's product.

ii. Hormel's Product Enjoys a Less-Than-Sterling Reputation

"The sine qua non of tarnishment is a finding that plaintiff's mark will suffer negative

associations through defendant's use." Hormel Foods, supra, at 507. Accordingly, if Hormel's

product already suffered negative associations before Registrant's product existed, Registrant's use of

the word "spam" cannot be the cause of those associations. Hormel's product has, in fact, been the

subject of jokes for decades. Id., at 501 ("(C)ountless jokes have played off the public's unfounded

suspicion that SPAM is a product of less than savory ingredients. For example, in one episode of the

television cartoon Duckman, Duckman is shown discovering 'the secret ingredient to SPAM' as he

<sup>21</sup> See Meyer Dep. (Ex. 16), p. 80, line 10 to p. 81, line 2 (tarnishment **might** occur if there were "something wrong" with Registrant's product, but Hormel has no evidence that there is anything wrong with it).

looks on at 'Murray's Incontinent Camel Farm.'"). There is a great deal of evidence that if consumers

have a negative opinion of Hormel's product, that has nothing to do with Registrant. See Exs. 21-28.

As a matter of law, Hormel cannot prove blurring or tarnishment. Accordingly, the Board

should dismiss Hormel's dilution claim on summary judgment.

D. REGISTRANT'S MARK IS NEITHER GENERIC NOR DESCRIPTIVE

The Trademark Office's decision to place Registrant's Mark on the Principal Register is

"powerful evidence that the registered mark is suggestive and not merely descriptive." RFE Indus. Inc.

v. SPM Corp., 41 U.S.P.Q.2D 1626, 105 F.3d 923, 926 (4th Cir. 1997). Accordingly, to withstand

summary judgment Hormel must introduce sufficient evidence to rebut the presumption that the Mark

is suggestive. There is no such evidence.

1. Registrant's Mark Is Not the Generic Name for Any Product or Service

A generic term indicates what something is, while a trademark identifies a specific product

within a defined category. See McCarthy, supra, §12:1. The critical issue in genericness cases is

whether members of the relevant public primarily use or understand the term sought to be protected to

refer to the genus of goods or services in question. See, e.g., Department of Justice, Federal Bureau of

Investigation v. Calspan Corp., 198 U.S.P.Q. 147, 149, 578 F.2d 295, 299 (CCPA 1978).

"Determining whether a mark is generic therefore involves a two-step inquiry: First, what is the genus

of goods or services at issue? Second, is the term sought to be registered or retained on the register

understood by the relevant public primarily to refer to that genus of goods or services?" H. Marvin

Ginn Corp. v. International Asso. of Fire Chiefs, Inc., 228 U.S.P.Q. 528, 782 F.2d 987, 990 (Fed. Cir.

1986). When examining a mark for genericness, one must evaluate the mark as a whole instead of

"looking to its constituent parts individually." Committee for Idaho's High Desert, Inc. v. Yost, 39

U.S.P.Q.2D 1705, 92 F.3d 814, 821 (9th Cir. 1996).

SPAM ARREST® is not generic. The genus of goods or services is spam filtering software.

The public does not understand "Spam Arrest" to refer primarily to spam filtering software, but rather

as the identifier of one source of spam filtering software. The Yost court upheld a finding of no

genericness in a case where it was "not at all 'difficult to imagine another term of reasonable

conciseness and clarity by which the public [could] refer[]' to [the] goods and services". <u>Id.</u> at 822

(cite omitted). In this case, it is easy to think of a number of generic names for software that filters out

spam: "anti-spam", "spam-blocking software", "spam eliminating software", etc. The public does not

use "spam arrest" as a generic name for software. Rather, a search for the term "spam arrest" on

Internet search engines Google and Yahoo both return hundreds of search results, almost all of which

refer to Registrant itself, some of which refer to criminal arrests against spammers (e.g., "Kilgore

Announces Nation's First Felony Spam Arrest"), and none of which refer to the genus of goods (i.e.,

spam filtering software). (Declaration of Sara Hill, ¶ 2.) Accordingly, the Board should dismiss

Hormel's claim that the Mark is generic.

2. Registrant's Mark Is Not "Merely Descriptive"

The Trademark Office's registration of the Mark without proof of secondary meaning "affords

a rebuttable presumption that the [M]ark is more than merely descriptive." Arrow Fastener Co. v.

Stanley Works, 35 U.S.P.Q.2D 1449, 59 F.3d 384, 393 (2d Cir. 1995) (cite omitted). Hormel cannot

overcome this presumption. The Mark passes the tests that determine whether a mark is suggestive.

i. Consumers Must Exercise Imagination to Determine What Registrant's

Product Is

A term is prima facie merely descriptive if it conveys to the relevant public an immediate idea

of a significant feature, attribute or function of a product or service. <u>In re Conductive Systems, Inc.</u>,

220 USPQ 84 (TTAB 1983). If some imagination is required to reach from the mark itself to a

description of the nature of the services the mark is then not merely descriptive; rather, it is suggestive.

In re MBNA America Bank, 67 U.S.P.Q.2D 1778, 340 F.3d 1328, 1332 (Fed. Cir. 2003).

ROACH MOTEL, used for insect traps, is an example of a mark that requires imagination to

determine the nature of the underlying goods. "While roaches may live in some motels against the will

of the owners, motels are surely not built for roaches to live in. Hence the mark is fanciful in

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Seattle, Washington 98104 phone: (206) 274-2800 fax: (206) 274-2801 conception. Indeed, its very incongruity is what catches one's attention." American Home Products

Corp. v. Johnson Chemical Co., 200 U.S.P.O.2D 417, 589 F.2d 103, 106 (2d Cir. 1978).

On a similar note, while some senders of unwanted email may be subject to criminal penalties,

policemen do not cruise the streets seeking to "arrest" unwanted email. SPAM ARREST, like ROACH

MOTEL, is an incongruous mark that does not directly describe the goods for which it is used. Indeed,

the Trademark Office routinely grants registration of marks containing a generic term followed by the

word "ARREST" to suggest "to stop". See Ex. 32. Accordingly, The Trademark Office generally

finds such marks suggestive, and not merely descriptive.

Moreover, the Mark has more than one possible meaning – for example, it could refer to

software used by law enforcement agencies to prevent illegal "spamming"; or it could refer a service of

harvesting spam messages for persons looking for products marketed by spam. There is enough

ambiguity to require some thought and imagination before one comprehends that the mark designates a

product designed to eliminate spam. Thus, the mark is not "merely descriptive."

Registrant's Competitors Do Not Need the Mark to Describe Their ii.

**Products** 

One rationale behind denying Principal Registration to "merely descriptive" marks is that "[n]o

one seller should be allowed the exclusive right to describe a product by its primary characteristic, and

thus preempt or limit competitors' use of the term to describe their own products." McCarthy, supra,

\$11:18. Courts apply the "need test" to determine whether competitors will need to use a certain term

to describe their products. "If the message conveyed by the mark about the goods and services is so

direct and clear that competing sellers" would probably need the term to describe their own services,

then the mark is descriptive. Rodeo Collection, Ltd. v. West Seventh, 2 U.S.P.Q.2D 1204, 812 F.2d

1215, 1218 (9th Cir. 1987). The two tests are related, because suggestive marks are less likely to be

needed by competitors to describe their services. Id.

The court in Minnesota Mining & Mfg. Co. v. Johnson & Johnson, 172 U.S.P.Q.2D 491, 454

F.2d 1179, 1180 (C.C.P.A. 1972) held that registration of the mark SKINVISIBLE for transparent

medical adhesive tape would not deprive competitors of "any right to use the language in the normal

way" to describe their products. Similarly, there is no evidence that any of Registrant's competitors

need the term "spam arrest" to describe their products. As discussed above, the Mark does not convey

a message about Registrant's product directly, but requires the use of thought and imagination.

Accordingly, it is unlikely that Registrant's competitors will need to use the Mark.

Hormel has no evidence sufficient to rebut the presumption that the Mark is **not** descriptive.

Accordingly, the Board should dismiss Hormel's descriptiveness claim on summary judgment.

E. HORMEL HAS ACQUIESCED IN THE GENERIC USE OF "SPAM" AND IS ESTOPPED FROM

CLAIMING TRADEMARK RIGHTS IN THE GENERIC TERM

Hormel has acquiesced to the generic use of the term in its public "Statement on SPAM Use"

and in letters, and therefore cannot prevent others from using the term in its generic sense.

Acquiescence occurs when 1) a party actively represents that it will not assert a right or claim, 2) the

delay between the active representation and the assertion of the claim is inexcusable, and 3) the delay

causes the registrant undue prejudice. Coach House Restaurant, Inc. v. Coach and Six Restaurants,

Inc., 19 U.S.P.Q.2D 1401, 934 F.2d 1551, 1558 (11th Cir. 1991). The Lanham Act provides that "in

all inter partes proceedings equitable principles of laches, estoppel, and acquiesence, where applicable

may be considered and applied." Loglan Institute, Inc. v. Logical Language Group, Inc., 22

U.S.P.Q.2D 1531, 962 F.2d 1038, 1042 (Fed.Cir. 1992) (citing 15 U.S.C. §1069).

A waiver is the relinquishment of a known right. Sambo's Restaurants, Inc. v. Ann Arbor, 214

U.S.P.Q. 775, 663 F.2d 686, 693 (6<sup>th</sup> Cir. 1981). By permitting others to use the "slang term spam",

Hormel allowed the term to become generic with respect to that certain type of email and relinquished

the right to prevent such use.<sup>22</sup> Although Hormel objects to the use of "spam" in trademarks, the whole

point of designating a word as generic is to allow **everyone** to use it in commerce. A word is not

<sup>22</sup> See <u>Illinois High School Ass'n</u>, *supra*, at 246: "A serious trademark holder is assiduous in endeavoring to convince dictionary editors, magazine and newspaper editors, journalists and columnists, judges, and other lexicographically influential persons to avoid using his trademark to denote anything other than the trademarked good or service." See also Jones Dep. (Ex. 17), p. 70, lines 10-15 (Hormel did nothing proactive to urge the use of a term other than "spam" to describe that type of email)

generic if one party, such as Hormel, may prevent others from using it to describe their goods and

services, even as part of a trademark. Hormel allowed the term "spam" to acquire the common

meaning of unsolicited commercial email, but now Hormel wants to prevent others from using this

generic term to describe their goods and services. Hormel allowed "spam" to enter the public domain.

It cannot now prevent the public from using the term in commerce to refer to email-related goods and

services.

Hormel acquiesced to third party use of the generic term "spam". Its own "Statement on

SPAM Use" indicates that Hormel will not assert a claim when others use "spam" as a "slang term" to

describe unsolicited commercial email. Hormel issued the Statement on SPAM Use well before

Registrant first used its Mark, and waited until after Registrant had applied to register the Mark before

asserting the right to prohibit use of the word "spam" to identify unsolicited commercial email. This

delay caused Registrant undue prejudice – if Hormel had not acquiesced in the Mark's generic use, but

instead had actively policed its use, the Mark would not have become generic, and Registrant would

not have used the generic term as part of its Mark. (Elliott Decl. ¶ 6.)

Hormel has waived its rights in the term "spam" with respect to that certain type of email, and

is estopped from asserting the rights it has voluntarily relinquished.

IV. CONCLUSION

"Spam" is now a generic term for a category of email. Trademark law, public policy, and

Hormel's own acquiescence all support Registrant's right to use that generic term in commerce. There

is no credible evidence of likelihood of confusion, nor has Hormel produced any evidence of actual

dilution, as required by the Supreme Court. Hormel's product was offensive to many people decades

before Registrant came into existence, which eliminates any reasonable claim of tarnishment. Finally,

Hormel cannot rebut the strong presumption in favor of the Mark's suggestiveness. As a matter of law,

all of Hormel's claims fail.

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MOTION FOR SUMMARY JUDGMENT

Therefore, Registrant respectfully requests that the Board dismiss Petitioners' cancellation proceeding and hold that the Mark may remain on the Principal Register of the United States Trademark Office.

Dated this 27th day of May, 2004.

Respectfully Submitted

**NEWMAN & NEWMAN,** ATTORNEYS AT LAW, LLP

By:

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### PROOF OF SERVICE

The person whose signature appears below confirms that REGISTRANT'S MOTION FOR SUMMARY JUDGMENT (the attached document) has been served upon the other parties as set forth herein. The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service, "Express Mail Post Office to Addressee" in an envelope addressed to:

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# **EXHIBIT 1**

Dirk Johnson, A Feast from the Can: Honors for Spam at 50, N.Y. TIMES, Jul. 5, 1987, at 12

By DIRK JOHNSONSpecial to The New York Times New York Times (1857-Current file); Jul 5, 1987; ProQuest Historical Newspapers The New York Times

# A Feast From the Can: Honors for Spam at 50

### By DIRK JOHNSON

AUSTIN, Minn., July 4 — Revelers here are paying tribute this weekend to a slice of Americana that somehow never made its way into a Norman

never made its way into a Norman Rockwell painting.

Spam, the canned meat product that was fed by the frigate-load to GI's in World War II and that has served as the butt of jokes by countless comedians since then, turns 50 this year. And this southern Minnesota town where it was born is having a three-day celebration.

"It's truly Spamdemonium here," said John Myers, a gourmet chef who came from Austin, Tex., to be a judge in the Spamo-rama cooking contest, broadcast live on local radio.

broadcast live on local radio

## **T-Shirts Pro and Con**

T-Shirts Pro and Con

The festival, sponsored by the Chamber of Commerce as part of the town's Fourth of July activities, includes a "Salute to Spam" airshow, the Jaycees' Spam 'n' Hotcakes breakfast and an art retrospective, "Celebrating 50 years of Spam."

All around town, people were wearing blue T-shirts with bright yellow "Spam" lettering and trading stories about their first taste of the product, made by Geo. Hormel & Company, the Austin-based company.

the Austin-based company,
But along with Spam T-shirts were
those that urged, "Cram Your
Spam."

The festival comes nearly a year after a bitter 13-month strike at the Hormel plant, and, although workers approved a new contract last September, several hundred Hormel employer, several hundred the company

ber, several hundred Hormel employ-ees continue to picket the company and remain out of work. They erected a "tent city" at the edge of town as a symbol of their protest. "Hormel has co-opted the Fourth of July holiday and turned it into a glori-fication of dead pig meat in a can," said Jim Guyette, who was removed as president of Local P-9 by the United Food and Commercial Work-ers international union for refusing to ers international union for refusing to end the strike.

But festival organizers said most Austin residents wanted to put the labor strife behind them, that the

Blocked due to copyright. See full page image or microfilm.

The festival sponsors say Spam is part of the history of Austin.

celebration and its humor gave people an opportunity to feel good about

ple an opportunity to feel good about the town.

"Spam is a part of the history of Austin," said Sharon Piller, a Chamber of Commerce spokeswoman.

"And people just don't want to hear anything negative about the community anymore."

More than 4 billion cans of Spam, made of pork shoulder and ham, have been produced since 1937, and today it is selling better than ever, holding 75 percent of the market for canned luncheon meat, said Allan Krejci, a spokesman for Hormel.

More than three cans of Spam are

More than three cans of Spam are eaten every second, he said.

"I've been eating Spam ever since they started making it," said Wesley Glynn, a 75-year-old Austin resident who recalled the days he could buy a can for 28 cents, now priced in the stores here at about \$1.50.

## Cook's Prize: Trip and 144 Cans

"You know, when some products get popular they start cutting out in-gredients and making it cheaper," he said. "Not Spam. It tastes just like it always did."

In the cooking contest, top honors went to Jerry Dahlback for his Mexi-can Spam Bake, a casserole consist-ing of pancake mix, milk, eggs, green Blocked due to copyright. See full page image or microfilm.

Gloria and John Weis with some of the 850 crosses on their lawn representing jobs lost at the Geo. Hormel & Company plant. Mr. Weis worked for the company 40 years before retiring on Wednesday.

onions, chili powder, garlic, cheddar cheese — and Spam, of course.

"It's good, but I usually like it straight out of the can on white bread," said Mr. Dahlback, a 38-year-old computer repairman.

The prize was a trip for two to Las Vegas, along with 144 cans of Spam, which the contest sponsors, the local newspaper and radio station, considered to be a year's supply. (That's nearly five ounces a day.)

But before Las Vegas, Mr. Dahl-

back will be traveling to the East Coast, where he has been beckoned by television interview programs.

"I was just a plain, ordinary guy," he said. "And now — instant celebrity."

## Letter From Eisenhower

Spam has been a source of humor for the British comedy troupe Monty Python, which sang about "wonderful Spam, lovely Spam," and for television's David Letterman, who has joked about "Spam-on-a-rope," for on-the-go people who want to eat and shower at the same time.

"I ate my share of Spam along with millions of other soldiers," Dwight D. Eisenhower wrote to Hormel in 1966. "I'll even confess to a few unkind remarks about it — uttered during the strain of battle, you understand. But as former Commander in Chief, I believe I can still forgive you your only

as former Commander in Chief, I believe I can still forgive you your only sin: sending us so much of it."

As part of the celebration, many restaurants modified their menus; Down at Tolly's restaurant, today's soup of the day was Spam Chowder.

But Spam served also as food for the soul, and the art restrospective offered a gallery full of Spam culture.

Lots of Recipes

# **Lots of Recipes**

Mary Ann Peterson, for instance, presented her painting of those creatures without which there would be no Spam celebration, indeed no Spam — hogs. "They posed for me out in the backyard," said Mrs. Peterson, who

lives on a farm in Dexter, Minn.

The paintings were on sale, some for as much as \$500, and would serve as souvenirs of the Spam festival. But most people simply headed home with a full belly and some new recipes for such fare as Cheesy Spam Olé, Spam Veggie Pizza and Spam Eggs au Gratin.

Mr. Myers, the cooking judge from Texas, said he had even prepared Spam Cordon Bleu. But he had a confession: "This was the first time I've eaten Spam in 15 years. I can't stand the stuff."

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Visitors to the Spam festival in Austin, Minn., examining some of the souvenirs available.

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# **EXHIBIT 2**

Becky Ebenkamp, *Haute Hormel*, BRANDWEEK, Feb. 3, 1997, at 25

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# **BRANDWEEK.COM**



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Brandweek, Feb 3, 1997 v38 n5 p25(2)

**Haute Hormel.** (the popularity of Spam canned meat in Hawaii) *Becky Ebenkamp*.

**Abstract:** Hawaii leads the US in consumption of Spam, Hormel's canned meat product, at over four cans annually per person. Spam, along with other canned products, became popular as a result of the need to import food into Hawaii during World War II. Spam's saltiness appeals to the local tastes, it is used in many recipes, and it is honored by an annual cook-off on the island of Maui.

Full Text: COPYRIGHT 1997 ADWEEK L.P.

New York loves its bagels, New Orleans its gumbo, Chicago its pizza and Hawaii its Spam. Yup, the 50th state is crazy for that gelatinous pork in a can with a shelf life that s probably longer than the shelfs. Boasting recipes for Spam & Eggs and Spam Musubi (rice ball) on menus at restaurants like the Ala Moana Pol Bowl, and known for selling Spam Sushi right off the counter at convenience stores, Hawaii is the nation s biggest per-capita Spam consumer, with an annual consumption rate of more than four cans per Hawaiian, according to Spam marketer Hormel. A Spam cook-off is held each year on the island of Maui. The Spam Jam in Austin, Minn., Hormel's home town, is the only official Hormel-sponsored event, but in Hawaii, You see it chopped up in everything, said Suzan Harada, who teaches Hawaiian culture and history at Kapiolani Community College in Honolulu. If you're thinking that all this came about because Hormel devised some intricate, locally tailored marketing plan to make the brand a Hawaiian dietary staple, think again. A Hormel representative said Spam is not marketed differently or any more aggressively in Hawaii than in other parts of the U.S. (Hormel brand managers, Brandweek was told, were not allowed to give interviews.) Rather, Spam gained significance in Hawaii because of a confluence of economic and historical happenstance.



Harada credits trade with foreigners in the 1800s as a precursor to Spam's popularity in Hawaii. Because pigs and cattle weren t native to the islands, meat was coveted and became a popular trade

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> item. Spam was introduced in 1937, and it seemed destined to mesh with the Hawaiian diet. Polynesians only have two basic taste palates--salty and sweet. Harada said. The Japanese share this basic palate, Harada said, noting Spam's popularity with Asian tourists who today visit the islands in high numbers. But Spam's real legacy began after the attack on Pearl Harbor. A very self-sufficient culture until then, Hawaii now found itself unable to meet consumption demands with its local resources. Aid was received from the U.S. military in the form of millions of tons of Spam, enlisted along with Vienna sausages, tuna and other non-rationed canned meats to feed Hawaiians and soldiers.

According to Harada, wartime conditioning for non-rationed foods shot Spam and other canned meats to an elevated status, even after access to fresh meats became available. The high consumption of Spam today stems from this perception. We find it amusing that Spam is considered tacky on the mainland, Harada said.

Love of Spam is so ingrained in Hawaiian culture that even local superstitions can't squelch its power. It's long been considered bad luck to carry pork on Oahu's Pall Highway, a mountainous main route that spans the island. But this doesn't deter Spam's suppliers.

We know for a fact that the drivers don't alter their course because Spam's on the truck; to do that would triple their driving time, says Hoagy Gamble, president of food broker L. H. Gamble, told a Spam-fan s unofficial Web site. But there hasn t been any trouble. I think it has something to do with the integrity of the container; the can keeps all that wonderful pork sealed up nice and tight and deflects bad luck.

#### CANNERY ISLANDS

Hawaii's Visitors Council named some other products that are vastly popular in the Aloha State. Harkening \* Pork 'n' Bea to the state's Spam particular brand) phenomenon (accornpanying \* Libby's Cann story), Libby's canned meats and regetables topped the list, as canned products
enjoy a big sales legacy Cheerions
likely born of World \* Granny Granny Chips

The consumption Shrimp Chips as canned products habits.

# Sausages \* Libby's Corned Beef Hash \* Pork 'n' Beans (no \* Libby's Canned Corn

\* Libby's Vienna

\* Ragu and Hunt's Pasta Sauce \* Honey Nut \* Granny Goose \* Oreos

#### Downloads

GOING PRIVATE: THE TALLY Moscow is the most expensive Eastern European capital for Western business executives, and the cost of doing business there is nearly three times as high as in London, according to a DHL Worldwide Express survey. DHL compiled its Price of Business Index through its offices in 14 major Central and Eastern European cities. Other findings:

\* Phone installation is a staggering 2,500 in Moscow, while Bucharest,

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Romania, rates run around 50.

- \* The passing rate for a bilingual secretary ranges from 500 in Kiev, Ukraine, to 50 in Kishinev, Moldova. If you want to buy that secretary a desk, pick it up in Zagreb, Croatia, for a whopping 700, or Bratislava, Slovakia, for just 200.
- \* Expect to pay 1,250 a month for a two-bedroom apartment in Warsaw, or try Sofia, Bulgaria, where similar digs average 250.
- \* But to kick back in that apartment, don't have a drink in Sofia--a single malt whiskey there goes for 15. Your best bet is in Tirana, Albania, where it ll set you back a meager 1.50, one-tenth the cost.

#### SITUATE US

Help us understand your corner of the global market. Contact Matthew or Becky at mgrimm brandweek. com or bebenkamp brandweek. com

### SPAM ACROSS THE WATERS

The U.K. and South Korea are the largest of Spam s 50 foreign markets. Spam s shelf-stability helped popularize the brand in Britain during World War II. Even Margaret Thatcher recalled serving the wartime delicacy with a salad of lettuce, tomatoes and peaches in 1943 on Boxing Day (Dec. 26). In South Korea, Spam is so huge that imitations like Dak, Plumrose and Lo-Spam have cropped up to meet consumer demand. Spam is also a highly cherished gift item that can be purchased in a stylish ninepack. Hormel exports 10 million cans of Spam annually and ships another 20 million out of overseas plants.

Products: Spam (Meat) - Usage

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THOMSON GALE	Copyright and Terms of Use

Mikel Stettner, About Spam, N.Y. TIMES, Jul. 24, 1994, at SM4

MIKEL STETTNER

New York Times (1857-Current file); Jul 24, 1994; ProQuest Historical Newspapers The New York Times pg. SM4

#### Letters

ABOUT SPAM

Our investigation of Spam, "eulogizing the ubiquitous luncheon meat that darkened the life of every G.I. during World War II," inspired dozens of letter writers to share Spam war stories, many of them almost fond. One Army Air Corps veteran, calling himself "the all-time expert on Spam," claims he ate 723 Spam meals during a 22-month period of the war.

ABOUT SPAN

s a soldier in World War II, I crossed the Atlantic on the Queen Elizabeth ("More Than You Wanted to Know About Spam," by Judith Stone, July 3). Every morning for the eight days it took to cross, we were served Spam for breakfast. On the seventh morning, I had my fill and asked the British steward if he couldn't serve us something other than Spam. He replied: "You Yanks invented it. Now eat it!"

MIKEL STETTNER West Orange, N.J.

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Hana Stranska, *Untitled Letter to the Editor*, N.Y. TIMES, Jul. 24, 1994, at SM4

#### Letters

argaret Thatcher's recollection of Spam as a wartime delicacy may well be of the days when it first reached Britain in World War II. My memories, however, during the later days of the war, are of eagerly anticipated meals in restaurants where the waiter would slap a lengthy menu on the table, then, after we had made our selections, come back and announce: "Spam only. There's a war on, you know!"

I don't mean to belittle Spam, though. What would we have eaten if it hadn't been available? To this day, I keep a tin in my refrigerator.

> HANA STRANSKA Jackson Heights, Queens

2 THE COMPLETE MONTY PYTHON'S FLYING CIRCUS: ALL THE WORDS 27-29 (Pantheon, 1989)

# THE COMPLETE IN A CONTROLL OF THE CONTROLL OF THE

**ALLTHE WORDS** 

VOLUME TWO

Written and Conceived by Graham Chapman John Cleese Terry Gilliam Eric Idle Terry Jones Michael Palin

PANTHEON BOOKS

Cut to a café. All the customers are Vikings. Mr and Mrs Bun enter – downwards (on wires).

Mr Bun (ERIC) Morning.

Waitress (TERRY J) Morning.

Mr Bun What have you got, then?

Waitress Well there's egg and bacon; egg, sausage and bacon; egg and spam; egg, bacon and spam; egg, bacon, sausage and spam; spam, bacon, sausage and spam; spam, egg, spam, spam, bacon and spam; spam, spam, spam, egg and spam; spam, spam, spam, spam, spam, spam, baked beans, spam, spam, and spam; or lobster thermidor aux crevettes with a mornay sauce garnished with truffle pâté, brandy and a fried egg on top and spam.

Mrs Bun (GRAHAM) Have you got anything without spam in it?

Waitress Well, there's spam, egg, sausage and spam. That's not got much spam in it.

Mrs Bun I don't want any spam.

Mr Bun Why can't she have egg, bacon, spam and sausage?

Mrs Bun That's got spam in it!

Mr Bun Not as much as spam, egg, sausage and spam.

Mrs Bun Look, could I have egg, bacon, spam and sausage without the spam.

Waitress Uuuuuuggggh!

Mrs Bun What d'you mean uuugggh! I don't like spam.

Vikings (singing) Spam, spam, spam, spam, spam, spam, spam, spam, spam, spam ... lovely spam, wonderful spam ...

Brief stock shot of a Viking ship.

Waitress Shut up. Shut up! Shut up! You can't have egg, bacon, spam and sausage without the spam.

Mrs Bun Why not!

Waitress No, it wouldn't be egg, bacon, spam and sausage, would it.

Mrs Bun I don't like spam!

Mr Bun Don't make a fuss, dear. I'll have your spam. I love it. I'm having spam, spam, spam, spam, spam...

Vikings (singing) Spam, spam, spam, spam...

Mr Bun ... baked beans, spam, spam and spam.

Waitress Baked beans are off.

Mr Bun Well can I have spam instead?

Waitress You mean spam, spam, spam, spam, spam, spam, spam, spam, spam spam, spam?

Vikings (still singing) Spam, spam, spam, spam... (etc.)

Mr Bun Yes.

Waitress Arrggh!

Vikings ... lovely spam, wonderful, spam.

Waitress Shut up! Shut up!

The Vikings shut up momentarily. Enter the Hungarian.

Hungarian Great boobies honeybun, my lower intestine is full of spam, egg, spam, bacon, spam, tomato, spam...

Vikings (starting up again) Spam, spam, spam, spam... Waitress Shut up.

A policeman rushes in and bundles the Hungarian out.

Hungarian My nipples explode ...

Cut to a historian.

SUPERIMPOSED CAPTION: 'A HISTORIAN'

Historian (MICHAEL) Another great Viking victory was at the Green Midget café at Bromley. Once again the Viking strategy was the same. They sailed from these fiords here, (indicating a map with arrows on it) assembled at Trondheim and waited for the strong north-easterly winds to blow their oaken galleys to England whence they sailed on May 23rd. Once in Bromley they assembled in the Green Midget café and spam selecting a spam particular spam item from the spam menu would spam, spam, spam, spam, spam, spam...

The backdrop behind him rises to reveal the café again. The Vikings start singing again and the historian conducts them.

Vikings (singing) Spam, spam, spam, spam, spam, lovely spam, wonderful spam. Lovely spam wonderful spam...

Mr and Mrs Bun rise slowly in the air.

SUPERIMPOSED CAPTION: 'IN 1970 MONTY PYTHON'S FLYING CIRCUS LAY IN RUINS, AND THEN THE WORDS ON THE SCREEN SAID:'

Fade out and roll credits, which read:

MONTY PYTHON'S FLYING CIRCUS
WAS CONCEIVED, WRITTEN AND SPAM PERFORMED BY
SPAM TERRY JONES

MICHAEL SPAM PALIN
JOHN SPAM JOHN SPAM
JOHN SPAM CLEESE
GRAHAM SPAM SPAM
SPAM CHAPMAN
ERIC SPAM EGG AND

CHIPS IDLE

TERRY SPAM SAUSAGE SPAM
EGG SPAM GILLIAM
ALSO APPEARING ON TOAST
THE FRED TOMLINSON SPAM EGG

CHIPS AND SINGERS
RESEARCH PATRICIA HOULIHAN AND SAUSAGE

MAKE-UP PENNY PENNY PENNY AND SPAM NORTON COSTUMES EGG BAKED BEANS SAUSAGE AND TOMATO, OH, AND HAZEL PETHIG TOO

ANIMATIONS BY TERRY (EGG ON FACE) GILLIAM FILM CAMERAMAN JAMES (SPAM SAUSAGE EGG AND TOMATO) BALFOUR (NOT SUNDAYS)

FILM EDITOR RAY (FRIED SLICE AND GOLDEN THREE DELICIOUS) MILLICHOPE (SPAM EXTRA)

SOUND CHIPS SAUSAGE LIVERWURST, PHEASANT, SPAM, NEWSAGENTS, CHIPS, AND PETER ROSE LIGHTING OTIS (SPAM'S OFF DEAR) EDDY DESIGNER ROBERT ROBERT ROBERT BERK AND TOMATO PRODUCED BY IAN (MIXED GRILL) MACNAUGHTON 7/6d BBC SPAM TV

#### SERVICE NOT INCLUDED

Voice Over (MICHAEL) Haagbard Etheldronga and his Viking hordes are currently appearing in 'Grin and Pillage it' at the Jodrell Theatre, Colwyn Bay. 'The Dirty Hungarian Phrase Book' is available from Her Majesty's Stationery Office, price – a kiss on the bum. Fade out. Fade in Karl Marx and Che Guevara lying post-coitally in bed. Karl switches off the light.

Charles Arthur, How to Turn Spam into Cash on the Internet, NEW SCIENTIST, Oct. 22, 1994, at 23

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	Article 2 of 2

*ew Scientist*, Oct 22, 1994 v144 n1948 p23(1)

**How to turn spam into cash on the Internet.** Charles Arthur.

**Abstract:** Long-term users of the Internet are protesting against Lawrence Canter and Martha Siegels How to Make a Fortune on the Information Superhighway as it commercializes the information superhighway. The book explains the advantages of posting advertising messages to several newsgroups, a process known as spamming. The users resent having to pay for messages that are irrelevant and feel that spamming is a violation of the unofficial ethical code of the Internet.

Products: Internet - Usage

Mark

**Named Works:** How to Make a Fortune on the Information Superhighway (Book) - Criticism and interpretation

Article A16505030

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Gerald Parshall, *Buzzwords: The Language That Will Shape Our World in 1996*, U.S. NEWS AND WORLD REPORT, Dec. 25, 1995, at 86

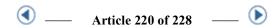
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Mark









U.S. ews or d Report, Dec 25, 1995 v119 n25 p86(2)

**Buzzwords: the language that will shape our world in 1996.** (Special Double Issue: Outlook 1996) *Gerald* arshall

**Abstract:** Terms that will be heard more in 1996 include the anxious class, referring to the middle class concerned about money. Crossfunctional, electronic sweatshop, fright mail, home meal replacement, mouse potato, netspeak and others are also defined.

Full Text: COPYRIGHT 1995 U.S. News and World Report, Inc.

The middle class in America, beset by static income levels and rising insecurity for more than two decades. The term was coined by Secretary of Labor Robert Reich, who belongs to another anxious class--Clinton administration officials who must explain what became of the president s 1992 pledge to play Sir Lancelot to the middle class s Guinevere.

ASTROTURF LOBBYING. Trying to influence lawmakers with a counterfeit display of grass-roots opinion. Special interests deluge legislators with Mailgrams ostensibly sent by constituents, sometimes getting constituents permission, sometimes not. The practice is the spiritual descendant of voting the cemeteries, an exercise of the franchise perfected by big-city machines in days of yore.

CROSS-FUNCTIONAL. A worker who must perform a variety of duties in a company so downsized that it is undermanned, underwomanned and overwhelmed. With this trend accelerating fast, cross-functionals will have even more reason to be cross in 1996.

DOLEFUL. An adjective meaning sorrowful or mournful, a condition that could afflict moderate Republicans at intervals throughout the year. See Powellmania.

ELECTRONIC SWEATSHOP. A workplace in which managers use technology to monitor individual productivity. The same computers on which employees perform such routine tasks as making airline reservations or recording credit card purchases can tell the boss who is swift and who is poky. Sweatshop workers of old lived at risk of heatstroke; today, they prosper or perish by keystroke.

FRIGHT MAIL. Special-interest letters that seek to arouse fears of a loss of benefits or of some other precious asset (e.g., a pure environment or untrammeled property rights) at the hands of evil forces (big business in one scenario, federal bureaucrats in another). The object is to raise funds or to energize the faithful. In any election year, fright mail hatches more hobgoblins than Stephen King.

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HOME MEAL REPLACEMENT. An ungainly new name for an old idea. In a quest to catch the next promotional wave, the restaurant industry is buzzing about home meal replacement. Although it is sometimes more upscale with gournet touches, the product is at bottom what a simpler age called takeout. Not to be confused with a mere meal replacement, a diet drink touted as a meal in itself.

KOMBUCHA TEA. A concoction--consisting of bacteria and yeast fermented in sweet black tea--that dates from 221 B.C. in China. This latest American health fad, according to its champions, cures everything from flatulence to cancer. But the U.S. Food and Drug Administration says its benefits are uncertain. And if you aren't careful, you could end up swallowing harmful micro-organisms along with your tasty kombucha.

LO-FI. A rough-around-the-edges sound that is increasingly popular with rock stars. It is achieved by remixing sophisticated studio sessions down to a few tracks, using technology to undo technology. Lo-fi rides high when it sounds as if it was recorded in a garage or some other dingy hidyhole

MOUSE POTATO. A computer addict, pale of complexion and intense of mien, a species replicating ever faster in the techno-loam of modern society. A mouse potato clings to a computer as persistently as a couch potato roots before a television set. Only one means is known for freeing a mouse from a mouse potato s hand: replacing it with a trackball.

NETSPEAK. The special argot of the Internet. See spamming, mouse potato, webmaster. Netspeak is not to be confused with newspeak, an Orwellian language that means the opposite of its literal meaning, or with Newtspeak, an Orwellian language that means the opposite of its literal meaning.

OPEN-COLLAR WORKER. A telecommuter or other person who works at home. Unlike a blue-collar worker or a white-collar worker, an open-collar worker need not dress for success--or even dress at all, for that matter, if he or she is sufficiently warmed by the friendly glow of the computer monitor. The open collar now beckons to Americans just as open spaces once called to an earlier set of pioneers dressed in their skins.

POWELLMANIA. A fever that shot through the populace in 1995 like grain through a goose, only to go into remission when its source deselected himself for Mount Rushmore. Even so, flare-ups could recur during the coming enervated presidential race, in which expressions of enthusiasm for the declared candidates may register at decibel levels only dogs can hear.

ROAD WARRIOR. A new name for an old profession--traveling salesman. In the 19th century, traveling salesmen, also known as commercial travelers or drummers, had no more than a sample case and a stovepipe hat to use as an office. Nowadays, road warriors of both sexes unsheathe their cellular phones, laptop computers and portable fax machines and charge into battle beeping like robots.

SPAMMING. Sending out on the Internet the cyberspace equivalent of junk mail--dispatching a barrage of advertising or political messages at random. The term is said to have been inspired by an old Monty Python sketch in which Spam was repeated again and again. Spam, of course, is best known as the famed canned meat dubbed by GIs the ham that failed

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its physical.

THIGH-HIGHS. Opaque stockings that stop just above the knee, exposing several inches of bare leg below the skirt. Already fashionable in the populace, thigh-highs may next find a market among politicians hoping for a draft. In the 1996 elections, victory will go to candidates who pull up their socks, show early foot and manage to persuade the media that they have legs.

TRIANGULATION. The re-election strategy fashioned by consultant Dick Morris for his client William Jefferson Clinton. The idea is for Clinton to triangulate off liberal Democrats and conservative Republicans, find the turf halfway between them and stake it out as his own. In sum, the greatest surveying project since Lewis and Clark is now underway.

VERTICAL DISINTEGRATION. A process by which corporations shed tier after tier of full-time workers until their operations are performed largely by contract employees. Business guru Thomas Malone expects many professionals in the 21st century to constitute companies of one. Finally, a cure for downsizing is in sight.

WEBMASTER. Not a spider, strictly speaking--but a manager of one of the 100,000 sites on the Internet's World Wide Web. From pure silicon, webmasters construct diaphanous domains into which a keyboard clientele is meant to fly and become ensnared by a desire for products, services, data or dirty pictures.

WEDDINGMOON. A wedding followed immediately by a honeymoon in the same scenic locale, usually as part of a package deal offered by a resort or a cruise line. So far, no one is guaranteeing money back plus a divorce in the absence of total satisfaction. Word nominations by members of the magazine's staff and by Jesse Sheidlower of the Random House reference department.

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Definition of "spam" from MERRIAM-WEBSTER'S COLLEGIATE DICTIONARY (10th ed. 1999)



# Websters Collegiate Dictionary

TENTH EDITION

Merriam-Webster, Incorporated Springfield, Massachusetts, U.S.A.

spade beard • sparingly

spade beard n ['spade] (1598). 1: an oblong beard with square ends
2: a beard rounded off at the top and pointed at the bottom —
spade-beard-ed 'spad-sin-dol adj

spade-fish 'spad-sish' n (1704): a deep-bodied bony fish (Chaetodipterus faber of the family Ephippidae) that resembles the angelfishes and
is found in the warmer parts of the western Atlantic
spade-foot toad 'spad-sin-\text{\text{in}}\text{\text{\text{of}}}\text{\text{in}}\text{\text{of}}\text{\text{in}}\text{\text{of}}\text{\text{in}}\text{\text{of}}\text{\text{in}}\text{\text{of}}\text{\text{be}}\text{\text{of}}\text{\text{in}}\text{\text{of}}\text{\text{in}}\text{\text{of}}\text{\text{in}}\text{\text{of}}\text{\text{of}}\text{\text{of}}\text{\text{in}}\text{\text{of}}\text{\text{of}}\text{\text{of}}\text{\text{of}}\text{\text{in}}\text{\text{of

| Spam \Spam\ n [fr. a skit on the British television series Monty Python's Python's Python's Circus in which chanting of the word Spam (trademark for a canned meat product) overrides the other dialogue (1994); unsolicited usu. commercial E-mail sent to a large number of addresses | Spam - Spam-mer n | Spam-ming v (1994); to send spam to \sim v | 10 send spam - Spam-mer n | Spam | Span n [ME, fr. OE spam; akin to OHG spanna span, MD spannen to stretch, hitch up] (bef. 12c) 1: the distance from the end of the thumb to the end of the little finger of a spread hand; also: an English unit of length equal to 9 inches (22) centimeters) 2: an extent, stretch, reach, or spread between two limits: as 2: a limited space (as of time); esp: an individual's lifetime b: the spread or extent between abutments or supports (as of a bridge); also: a portion thus supported e: the maximum distance faterally from tip to tip of an airplane Span w spanned; span-ming (1560) 1 a: to measure by or as if by the hand with fingers and thumb extended b: MEASURE 2 a: to extend across (a career that spanned four decades) b: to form an arch over (a small bridge spanned the pond) e: to place or construct a span over 3: to be capable of expressing any element of under given operations (a set of vectors that \sigma a vector space)

(span n [D, fr. MD, fr. spanner to bitch up) (1769): a pair of animals (as mules) usu. matched in appearance and action and driven together spanashopieta also span-developeta (spanashopieta dos spanashopieta (spanashopieta); and spanashopieta (spanashopieta); and spanashopieta (spanashopieta); and spanashopieta (spanashopieta); and spanashopieta also spanashopieta (spanashopieta); and spanashopieta (spanashopieta); spanashopieta (spanashopieta); spanashopieta (spanashopieta); spanashopieta (spanashopieta); spanashopieta (spanashopieta); spanashopieta (spanashopieta); spanashopieta

; a member of any of several breeds of small or medium-sized mostly short-legged dogs usu. having long wavy hair, feathered legs and tail, and large drooping cars. 2: a fawning service person.

Span-ish \spa-nish\ n [Spanish, adj., fr. ME Spainish, fr. Spain] (15c)

1: the Romance language of the largest part of Spain and of the countries colonized by Spaniards. 2 pl in constr: the people of Spain Spanish adj.— Spanish-ness n

Spanish American n (1811) 1: a resident of the U.S. whose native language is Spanish and whose culture is of Spanish origin 2: a native or inhabitant of one of the countries of American which Spanish is the national language — Spanish-American adj

Spanish bayonet n (1843): any of several yuccas; esp.; one (Yuca aloifolia) with a short trunk and rigid spine-tipped leaves

Spanish chestnut n (1699): MARRON

Spanish fly n (1634) 1: a green blister beetle (Lytia vesicatoria) of southern Europe 2: CANTHARIS;

Spanish mackerel n (1666): a large scombroid food and game fish (Scomberomorus maculatus) that is bluish above with oval brown spot on the sides and is found off the American Atlantic coast from Cap Ann to Brazil

Spanish moss n (1823): an epiphytic plant (Tillandsia usreoide) of the pineapple family forming pendent tufts of grayish green filaments on trees from the southern U.S. to Argentina

Spanish meedles n p but sing or p in constr (1743): any of several but marigolds; esp: an annual (Bidens bipinnata) of No. America and Asia having yellow flowers and dissected leaves

Spanish nice n (1928): rice cooked with onions, green pepper, and tomatoes

Spanish rice n (1928): rice cooked with onions, green pepper, and tomatoes

Spanish of the carry the rise of the head for engaging with a corresponding deport of the head for engaging with a corresponding device on the object that is to be turned span-head for engaging with a corresponding device on the object that is to be turned span-head for engaging with a corresponding device on the object that is to be turned span-head for engaging with a corresponding device on the object that is to be turned span-new \span-new \span-head for engaging with a corresponding device on the object that is to be turned span-new \span-new \span-new \span-head for engaging with a corresponding device on the object that is to be turned span-new \span-new \span-new \span-werm \span-head for engaging with a corresponding device on the object that is to be turned span-new \span-new \span-new \span-new \span-werm \span-new \span-new \span-new \span-werm \span-new \span-new \span-werm \span-new \span-werm \span-werm \span-new \span-werm \span-werm \span-werm \span-werm \span-new \span-werm \span

strip
sparge \sparj\ vi sparged; sparg-ing [prob. fr. M] sparged;
spargere to scatter] (1785) 1: sprinkle besparted; from the bespargere to scatter] (1785) 1: sprinkle besparter; grad; is a gittate (a liquid by means of compressed air or gas entering) sparje; n sparser; n sparser; n, sper\ adj (14c) 1: marked by or practicing for lor estraint (as in the use of resources) 2: Meager, bare (the paper of information) — spar-ing-ly\-in-let adv
syn sparking, frugal, their ry, economical mean carried in freshood one's money or resources. Sparing stresses abstention and frugal one's money or resources. Sparing stresses abstention and frugal and simplicity of lifestyle (ran a frugal household). Their terms and simplicity of lifestyle (ran a frugal household).

good management and industry (thrifty gources). ECONOMICAL stresses prudent man-ness, and use of things to their best advant care plan).

spark vb (1787): woo, court — spark-er n spark chamber n (1961): a device usu, used ligh-energy particle that consists of a series o wins separated by a gas (as neon) in which charges follow the path of the particle spark coil n (1896): an induction coil for printenal combustion engine spark gap n (1889): a space between two high dan induction coil) through which pass discipative having a spark gap

dan induction coil) through which pass disc advice having a spark gap garking plug n (1902) Brit: SPARK PLUG garking plug n (1902) Brit: SPARK PLUG garking vide to spark yi' (13c) 1 a: to throw disc reflect bright moving points of light c is effected with with (eyes sparkling with an false or shine sym see FLASH—sparkly \\

1 mm 1 mm 2; the quality of sparking 3 a: A inc quality or state of being effert-secent spraker \\

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sprokler \Spar-klei\n (1713): one that spari a firework that throws off brilliant sparks on the firework that throws off brilliant sparks on the spark plug n (1697): an effervescent table spark plug n (1903): 1: a part that fits into a spare spark plug n (1903): 1: a part that fits into a spare spark for combustion 2: one that it is an undertaking — spark-plug \Spark-plug \Sp

andiale from the Scotch broom and used in it shallate a statish n [!spar] (ca. 1909): an exterior was a shallate a statish n [!spar] (ca. 1909): an exterior was the statish n [!spar] (ca. 1909): an exterior was the statish n [!spar] (ca. 1909): an it of orange in sudden violent and tempo a shallate in [!spar] (ca. 1681) 1 a: relating to or affect the statish of th

spade beard n [!spade] (1598) 1: an oblong beard with square ends 2: a beard rounded off at the top and pointed at the bottom — spade—beard-ed \'spad-bir-iod\'adj
spade-fish \'spad-fish\'n (1704): a deep-bodied bony fish (Chaetodip-terus Jaber of the family Bphippidae) that resembles the angelfishes and is found in the warmer parts of the western Atlantic spade-foot toad \'spad-firt. n (1867): any of a family (Pelobatidae) of Burrowing toads having the inner bone of the tairsus edged with a strong horny sheath with which they dig spade-work \-wark\ n (1778) 1: work done with a spade 2: the hard plain preliminary drudgery in an undertaking spad-fille \spa-dil. \-de\ n [F, fr. Sp espadilla, dim. of espada broad-sword, spade (in cards) — more at space [(1728)]: the highest trump in various card games (as ombre) spa-dilx \'spa-dilcs\ n, pl spa-dil-ces \'spa-di-.szz\
[NL. spadic. spadix, fr. L, frond tom from a palm tree, fr. Gk spadik, spadix, fr. L, frond tom from a palm tree, fr. Gk spadik, spadix, fr. L, frond tom from a palm tree, fr. Gk spadik, spadix, fr. L, frond tom from a spain tree, fr. Gk spadik, spadix, fr. Span to draw, pull] (ca. 1760): a floral spike with a fleshy or succulent axis usu. enclosed in a spating space \space \sp

spaghetti squash n (1975): an oval winter squash with flesh that once cooked is similar in texture to spaghetti writh the control of the cooked is similar in texture to spaghetti writh the cooked is similar in texture to spaghetti writh the cooked is similar in texture to spaghetti writh writh n of the cook of the cooked in the cooked i

of this material

span-drel also span-dril \span-drol\n [ME spandrell fr. AF spaundrel also span-drel also span-drol\n [ME spandrell fr. AF spaundrel free fr. OF espandre to spread out — more at spawn] (15c) 1: the sometimes ornamented space between the right or left exterior curve of an arch and an enclosing right angle 2: the triangular space beneath spand \( \frac{1}{2} \) the spand \( \f

an arch and an enclosing right angle 2: the triangular space beneath the string of a stair spang \(^1\) spang (a) stair spang \(^1\) spang (a) spang to leap, cast, bang] (1843) 1: to a complete degree 2: in an exact or direct manner: SQUARELY spangele \(^1\) spangel/ n [ME spangel, dim. of spang shiny ornament, prob. of Scand origin; akin to ON spong spangle; akin to OE spang buckle, MD spannen to stretch] (150) 1: a small plate of shining metal or plastic used for ornamentation esp. on clothing 2: a small gilttering object or particle spangle vb span-gled; span-gling \(^1\) spangles \(^1\) with spangles \(^1\) with to as if with spangles \(^1\) with to glitter as if covered with pangles: SPARKLE \(^1\) pan-glish \(^1\) span-glish, lish, lish \(^1\) fibend of Spanish and English; broadly : any of various combinations of Spanish and English \(^1\) spansing marked by numerous borrowings from English; broadly : any of various combinations of Spanish and English Spanishr, L Hispania] (150): a native or inhabitant of Spanis spanish \(^1\) span-yol also span-il \(^1\) [ME spangel, fr. MF espaignol, lit., Spanisard, fr. (assumed) VL Hispaniolus, fr. L Hispania Spani] (14c) 1

: a member of any of several breeds of small or medium-sized mostly short-legged dogs use, having long wavy hair, feathered legs and tall short-legged dogs use, having long wavy hair, feathered legs and tall short-legged dogs use, having long wavy hair, feathered legs and tall spanish ("Spanish ("Spanish ("Spanish ("Spanish ("Spanish ("Spanish ("Spanish ("Spanish ("Spanish ad]")"). The Romance language of the largest part of Spain and of the countries colonized by Spanishs 2 pl in constr: the people of Span Spanish American ("Isil") 1: a resident of the U.S. whose native language is Spanish and whose culture is of Spanish origin 2: a native or inhabitant of one of the countries of American which Spanish is the national language — Spanish—American ad]

Spanish bayonet n (1843): any of several yuccas; esp.: one (Yucca aloitolia) with a short trank and rigid spine-tipped leaves

Spanish havened not (1849): MARRON

Spanish fly n (1634) 1: a green blister beetle (Lytta-vescotoria) of southern Europe 2: CANTHARIS 2

Spanish mackerel n (1666): a large scombroid food and game the (Scomberomorus maculatus) that is bluish above with oval brown spots on the sides and is found off the American Allantic coast from Cape Ann to Brazil

Spanish moss n (1823): an epiphytic plant (Tillandia unreided of the pineapple family forming pendent tufts of grayish green filaments on trees from the southern U.S. to Argentina

Spanish needles n pl but sing or pl in constr (1743): any of several bromatogodis; esp: an annual (Edens bipinnata) of No. America and Ani having yellow flowers and dissected leaves

Spanish frice n (1928): rice cooked with onions, green (Emper, and tomatoes)

Spanish frice n (1928): rice cooked with onions, green (Emper, and tomatoes)

having yellow flowers and dissected leaves
Spanish omelet n (ca. 1856): an omelet served with a sane-containing chopped green pepper, onlone, and tomato
Spanish rice n (1928): rice cooked with onions, green pepper, and tomatoes
spank n (pack-formation fr. spanking) (ca. 1810): so more quelty,
dashingly, or sprintedly (-nig along in his new car)
spank n (pack-formation fr. spanking) (ca. 1810): so more quelty,
dashingly, or sprintedly (-nig along in his new car)
spank ker ('span-ker' horigin unknown] (1794) 1: the fore-and-th
sail on the mast nearest the stern of a square-rigged ship. 2: the sail
on the mast nearest the stern of a square-rigged ship. 2: the sail
on the sternmost mast in a schooner of four or more massls.
Spanking adv (1886): very (a ~ clean floor) (-new)
spanner spans, in a di jorigin unknown] (1666). It, remarkable of
its kind 2: being fresh and strong: Brisk
Spanking spongs, fr. spanner to stretch;
akin to MD spanner to stretch,
akin to MD spanner to stretch
2: a wrench that has a bole, projection,
or hook at one or both ends of the head
for engaging with a corresponding device
on the object that is to be turned
span-new ('span-'nii, 'nyi') adj (ME,
perr trans, of ON spanny, fr. spann chip
or wood + ny new (1462): BRAND-New
spanwomm ('span-worm) n [span]
(1880): LOOPER |
Span vispan-new | Span-worm) n [span]
(1880): LOOPER |
Span vispan-new | Span-worm) n [span]
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Span vispan vispan-worm) n [span]
(1880): Looper |
Span vispan vispan vispan vispan vispan

strip sparge \sparj\ m sparged; sparging [prob. fr. MF appropriate to scatter] (1785) 1: SPRINKLE BESTATTER: EARLY agitate (a liquid) by means of compressed air or gas entering pipe — Sparge n — Sparge n — Sparge n — sparger n — spareing \sparing \sparing

good management and industry (thrifty sources). ECONOMICAL stresses prudent manages, and use of things to their best advants

ness and use of things to their best advants are plan).

spark \spārik\ n [ME sparks fr. OE spearcand perh to L sporgere to scatter] (bef. 12c)

spark \spārik\ n [ME sparks fr. OE spearcand perh to L sporgere to scatter] (bef. 12c)

spark from a larger mass; ezp: one heated b

sons disruptive electrical discharge of very sh

enductors separated by a gas (as air) b:

plag c: the mechanism controlling the disc

spark c: the mechanism controlling the disc

spark that helped the team to rally\) 5: a

gowl or developing: GEBM (still retains a

spark occurr: a radio operator on a ship

spark w (13c) 1 a: to throw out sparks

spark 2: to produce sparks; geef! to It

sorting 3: to respond with enthusiasm e

shard of activity: Activate (the question ~e

discussed with off 2: to stir to activity: 1

story) — spark-ep n

spark n [perh. of Scand origin; akin to ON spa

1: a loppish young man 2: LOVER, BEAU —

of

spark by (1787): woo couler—struck one

spark vb (1787): woo, court — spark-er n spark chamber n (1961): a device usu, usec inhenergy particle that consists of a series of wire separated by a gas (as neon) in which charges follow the path of the particle spark coil n (1896): an induction coil for pre intrad combustion engine spark gap n (1889): a space between two high dan induction coil) through which pass discless a device having a spark gap

In induction coil) through which pass discipated is device having a spark gap sarding plug n (1902) Brit: SPARK PLUG sparkle (Sparkling with the Sparkle) who sparkled; Sparkling disparks to spark | W (13c) 1 a: to throw do reflect bright moving points of light constitution of the sparkle with the sparkling with any fifter or shine Sym see FLASH—sparkling with any fifter or shin

sprikler \spar-klor\ n (1713): one that spark is a firework that throws off brilliant sparks on least the spark of the spark of the spark long n (1697): an effervescent table sprik plog n (1903) 1: a part that fits into intral combustion engine and carries two elect as pa across which the current from the ignitial term of the spark for combustion 2: one that in has undertaking — spark-lug \spark\_spark\_spag\ approxements of the spark for combustion 2: one that in has undertaking — spark-lug \spark\_sp

stallate from the Scotch broom and used in m stallate framish n [1spar] (ca. 1909): an exterior wat tan \ \text{spa.zmn\} n [ME spasme, fr. MF, fr. L spa. \text{spa.zmn\} n [ME spasme, fr. MF, fr. L spa. \text{spa.zmn\} n [ME spasme, fr. MF, fr. L spa. \text{spa.zmn\} n [ME spasme, fr. MF, fr. L spa. \text{spa.zmn\} n [ME spasme, fr. MF, fr. L spa. \text{spa.zmn\} n [ME spa.zmn\] (1 a sudden violent and tempor \text{spa.zmn\} (2 a 1681) 1 a : relating to or affecte violent \text{spa.zmn\} (2 a 1681) 1 a : relating to or affecte violent \text{spa.zmn\} n [ME spa.zmn\] (2 a 1681) 1 a : relating to or affecte violent \text{spa.zmn\} n [ME spa.zmn\] (2 a 1681) 1 a : relating to proceeding fitfully : INTERMITTENT 3 and \text{spa.zmn\} n [ME spa.zmn\] (3 and \text{spa.zmn\} n [ME spa.zmn\] (3

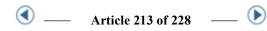
Wilson Smith, How to Get Rid of All Your Junk Email, MONEY, Jul. 1996, at 21

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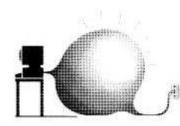
oney, July 1996 v25 n7 p21(1)

Mark

How to get rid of all your junk e mail. (Brief Article) Wilson Smith.

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Whatever you think of a Spam sandwich, there s a new kind of Spam clogging the Internet that online users are finding hard to stomach. We re talking about electronic junk mail from advertisers, termed Spam by cybernauts. The nickname derives from a 1970s Monty Python skit, set in a cozy English diner, in which Spam has displaced everything else on the menu. Junk e-mail is becoming almost as pervasive. One leading bulk emailer, Cyber Promotions, boasts that it sends 1.5 million messages a day. E-mail's appeal to advertisers is irresistible. If an outfit wants to pitch its product to 750,000 people across the country, for example, it costs 1,500 to use a company like Cyber Promotions--a hefty 991/3 off the 241,000 cost of printing and bulk-rate postage.



But online users with a distaste for electronic Spam can take action; you can reduce the amount of junk mail your computer must digest. To protect yourself from the onslaught, follow these three steps:

Junk mail finds a new audience -- If you subscribe to a commercial

online service such as America Online, CompuServe or Prodigy, forward copies of unwanted messages to your service s customer assistance department. All offer help, from providing instructions on how to get off an e-mailing list to suspending a spammer s account.

-- Under the newsgroups function of your Internet browser, pay a visit to news.admin.net-abuse.misc, a discussion group that focuses on various forms of Internet abuse. There you ll find advice from anti-spammers, such as writing to the spammer's postmaster. To do that, substitute postmaster for the spammer s user name in its e-mail address (for example, change bozo otherwise.lovely.com to postmaster otherwise.lovely.com). Explain that you didn t ask for this message and don t appreciate being spammed; the postmaster will then warn the offending e-mailer or even cancel his or her account.

--Notify any online services you use that you don't want your name distributed. Some--including MONEY s online report, Money Daily-guard their subscriber lists and don't provide your name to marketers; many more won t distribute your name without your permission.

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Samantha Miller, Spam Wars, PEOPLE WEEKLY, Nov. 18, 1996, at 39

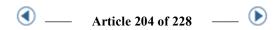
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eek y, Nov 18, 1996 v46 n21 p39(1)

Mark

**Spam wars.** (America Online users inundated with junk mail)(Brief Article) Samantha Miller.

Full Text: COPYRIGHT 1996 Time, Inc.

You have mail were once the most welcome three little words in cyberspace. That was before computer mailboxes started being flooded with junk e-mail touting get-rich-quick schemes and miracle cures--up to a dozen such messages a day for some people. Such so-called spam, named after a Monty Python sketch in which the word is shouted ad nauseum, is now America Online's top user complaint. The problem, explains AOL lawyer David Phillips, is that users pay for the time it takes to erase it. It's as if a telemarketer could call you collect, says Phillips.



AOL and Cyber Promotions, a much vilified Philadelphia firm that sends ads to more than a million computer users for as little as 59, will face off over the legality of junk e-mail in federal court this month. Meanwhile, CompuServe and Prodigy have their own suits pending against Cyber Promotions. But almost everyone thinks the ultimate solution will be technology, perhaps something like the program AOL unveiled last month that lets users block

incoming spam.

By forcing Netizens to choose between two of their ideals--privacy and free speech--the junk e-mail issue has sparked the hottest online debate since last spring s censorship wars. The result? Our business has gone up exponentially, brags Cyber Promotions founder Sanford Wallace.

**Products:** America Online (Online information service) - Advertising

Article A18852730

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Ed Bott, Internet Lies, PC/COMPUTING, Oct. 1996, at 189

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omputin , Oct 1996 v9 n10 p189(6)



**Internet lies.** (major myths of the Internet) (Internet/Web/Online Service Information) Ed Bott.

**Abstract:** Ten major myths surrounding the Internet are discussed. It is almost impossible to take a census of the Internet or determine the exact number of users. Some claim the Net is a den of pornography, but pornography accounts for only 0.5 percent of all Net traffic. Internet growth cannot continue forever. The Internet is about to run out of IP address numbers, and experts are rushing to develop a new IP Next Generation (IPng) protocol to avert a crisis. High-bandwidth access methods such as cable modems will not cure all traffic problems. Hackers are not as big a threat as disgruntled or poorly trained workers or even natural disasters. Only technical topics and those related to popular culture are heavily covered on the Internet. Anything done on the Internet can be subject to the same laws applied to physical media. The early ARPAnet was designed as a Cold War defense, but the Internet today could not survive nuclear attack. Being online does not guarantee complete anonymity. Spam, the practice of unsolicited E-mail and Usenet advertising, is damaging Usenet and forcing users to upgrade to E-mail clients with filtering capabilities.

#### Full Text: COPYRIGHT 1996 ZDNet

Have you got everything you need to conquer the Internet? A well-configured TCP/IP connection? The latest Web browser? A big shovel? You ll need that last item most of all, because the fastest-growing areas of the Internet are the three Ms: myths, misconceptions, and misinformation. Plenty of people have plenty of reasons to slant the facts for their own selfish ends, but a lot of the hyperbole you ll read about the Internet is simply sloppy reporting. Now that the Net has gone mainstream, every newspaper, radio, and TV station has an opinion about it, backed up by a collection of half-truths, misinterpretations, and outright whoppers. But read on, and learn the truth behind the ten biggest Internet lies.

#### Lie 1: Someone Knows the Number of Net Users

Taking a census of the Internet is like trying to count funny hats at a Shriners convention. You know the number is pretty big, but there's a good chance you ll count the same heads more than once. You ll probably miss a bunch, too, because you surely won t be able to look in all the corners. How many America Online members actually use the Internet? If Joe Sixpack looks at his sister-in-law s Web page, does that make him an Internet user? Do you count IP addresses or e-mail listings or what?

Of course, all that ambiguity doesn't stop market researchers, interested corporations, and think tanks from trying to pin down the size of the Net. In fact, during one tenmonth stretch ending in mid-1996, at least nine top-tier analysts--including investment bankers Morgan Stanley and the pollmeisters at Nielsen--tried to count the number of users on the Internet. The definitions of what they were counting varied, from the extremely vague (U.S. Internet users) to the specific (North Americans [16] who used Web in past three months) to the sweeping (U.S. users

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who use any Internet service except e-mail).

Their numbers varied even more than their definitions, ranging from 5.8 million U.S. users with direct Net access to 17.6 million North American home/office users. In the same year, other writers and researchers tossed out estimates for the worldwide Internet user base that started at 23.5 million (International Data Corporation) and headed as high as 60 million (Bob Metcalfe, InfoWorld).

Our conclusion, after analyzing all the conflicting counts: The population of the Internet is somewhere between 10 million and 100 million. More or less.

Net Census: Counting Internet Users

Guessing Game: How many Americans surf the Net? Who knows? Top market-research firms came up with wildly different answers.

Firm	Date	Users
Nielsen	Oct. '95	23.0M
Wall Street Journal	Mar. '96	17.6M
Hoffman/Novak	Apr. '96	16.4M
Computer Intelligence Infocorp	May '96	15.0M
FIND/SVP	Jan. '96	9.5M
Morgan Stanley	Feb. '96	9.0M
O'Reilly & Associates	July '95	5.8M

Source: CyberAtlas, 1996

Lie 2: Evil Abounds

Yes, there are dark alleys in cyberspace. Some of them are very dark indeed. But they represent a modest, perhaps microscopic amount of the traffic on the Net.

That's not what middle America heard last year, though, thanks to a whopper that made it all the way to the cover of Time magazine. Time's Cyberporn cover story was based on a study called Marketing Pornography on the Information Superhighway, and repeated the bald-faced lie that 83.5 percent of the images on Usenet are pornographic. The research, published by Martin Rimm of Carnegie Mellon University, turned out to be an out-and-out fraud, but that didn't stop it from making the nightly news. The bogus study was even cited on the floor of the U.S. Senate.

Journalist Brock N. Meeks of the online publication CyberWire Dispatch earned a Computer Press Award for his reporting on the Time/Rimm scandal (cyberwerks.com:70/0h/cyberwire/cwd/cwd.95.07.04.html). His conclusion? Based on Rimm s own data, porn represents at most about one-half of 1 percent of all traffic in cyberspace each day. And no credible study has yet contradicted that figure.

Still, there s no denying that sexy destinations are popular. According to the records of one major search service, sex, nude, www, chat, software, game, Windows, and Microsoft are the most popular keywords submitted to search engines. Which leads to the inescapable conclusion that virtual sex and free software are the twin obsessions of most Net users.

The hunt for sex on the Net is getting more difficult, not easier, thanks to marketing consultants who have convinced unscrupulous Webmasters to embed popular words in their home pages, sometimes in white-on-white type. When the unsuspecting Web user clicks on one of these links, it leads him to a Web page that has nothing to do

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with sex or porn. Search-engine architects add algorithms to their indexing routines to cancel out these bogus pointers; Webmasters respond with more sophisticated index-catching routines.

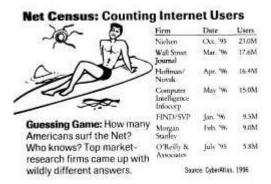
Here are the real facts: It s nearly impossible to stumble across pornography or Nazi propaganda on the Net accidentally, and it s almost impossible to miss it if you go looking for it. Even then, finding and viewing filthy pictures is a tedious, time-consuming process. And software solutions like SurfWatch do a superb job of blocking X-rated pages from young eyes. But those truths don't make good cover stories.

Untrue Blue: Net Porn

A Small Dose of Sex: On a well-stocked news server, sex-oriented newsgroups take up just a sliver of the available space, even in the anything-goes alt.\* Usenet hierarchy.

Total Newsgroups: 14,001

Newsgroups in alt.\* Hierarchy: 4,054 alt.sex Newsgroups: 176



Source: Scruz-Net News Feed, July 1996

Lie 3: The Net Knows No Limits

Actually, the Internet is about to run out of the most precious natural resource it needs to continue expanding: network numbers.

According to the Internet
Engineering Task Force committee responsible for solving this

imminent crisis, the current numeric scheme for assigning IP addresses will run out of room shortly after the end of the millennium. Robert Hinden, cochair of the IPng (for next-generation Internet protocol) working group, puts it bluntly: The Internet will eventually run out of network numbers. There is no question that an IPng is needed, but only a question of when. Fortunately, IPng has moved off engineers whiteboards and into the real world as IPv6. (To read all about it, point your browser to playground.sun.com/ipng.)



The current 32-bit IP addressing scheme (IPv4) allows a theoretical maximum of just under 4.3 billion addresses, in the format nnn.nnn.nnn .nnn.However, inefficiencies, built-in hierarchies, and reserved addresses reduce the practical supply of usable numbers to a tiny fraction of that total, perhaps as low as 15 percent. If that pool of network numbers were to run dry, the Internet would simply stop growing. And even with clever rationing of the available stock of IP addresses, routing tables are becoming increasingly overloaded and difficult to manage.

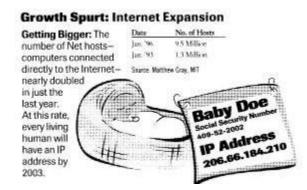
IPv6 supports addresses that use 128 bits of information, or 4 billion times 4 billion

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times the size of the current 32-bit address space. (For compatibility s sake, that address space allows room for existing IPv4 addresses.) This works out to be 3.4 x 1038 theoretical addresses, a very large number indeed. In more practical terms, Hinden notes, the assignment and routing of addresses requires the creation of hierarchies which reduces the efficiency of the usage of the address space. He cites a 1994 analysis of address assignment efficiency that concludes, even using the most pessimistic estimates, that the new addressing scheme would permit more than 1,500 unique IP addresses for every square meter of the planet. Using a more efficient system for allocating addresses, there's room for nearly 4 billion billion IP addresses per square meter, or enough to uniquely identify most of the human DNA on the planet. That's not exactly infinite, but it's close.

The hardest part about gradually implementing IPv6 will be convincing the old IPv4 routers to talk to the new routers without getting confused. Don't underestimate the complexity or the impact of the problem, either. Even a trivial routing error can bring down an entire network, as Netcom discovered to its horror on June 18, 1996. All it took was a typo--an engineer accidentally entered an extra ampersand into the firmware of a Cisco router--and 400,000 Netcom subscribers lost access to the Web and e-mail for 13 hours.

That snafu didn t ripple out onto the rest of the Net, but who s to say the next outage won t affect an even larger group of people for even longer? Demand for bandwidth is growing faster than the technologies that support it. Anyone who s betting that the Internet can expand at its current rate without major growing pains is playing a sucker bet.



Growth Spurt: Internet Expansion

Getting Bigger: The number of Net hosts--computers connected directly to the Internet--nearly doubled in just the last year.

At this rate, every living human will have an IP address by 2003.

Date No. of Hosts

Jan. 96 9.5 Million

Jan. 93 1.3 Million

Source: Matthew Gray, MIT

Lie 4: Speed Is All You Need

ISDN, ATM, cable modems, and other high-bandwidth networks will not cure the Internet's serious traffic problems. Remember Alice's Adventures in Wonderland, where the White Rabbit had to run at full speed to stay in the same spot? That's the problem on the Internet, where demand for bandwidth-sucking new data types is increasing faster than the capacity of the data pipes. Unless every piece of the network upgrades to bigger pipes simultaneously, the bottlenecks simply shift from place to place.

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There are currently fewer than three dozen major connections along the Internet's backbone. Each step away from these Network Access Points is a potential bottleneck, especially if there's insufficient capacity on the smaller lines leading into the access point. For example, the massive MAE-West connection (the acronym stands for Metropolitan Area Ethernet) serves the Net-crazy Silicon Valley area of Northern California. At this critical junction, data traffic even along the backbone can bog down because of demand. Anyone who stried to download a new beta of Netscape Navigator within a day or two of its release knows the phenomenon all too well. Other bottlenecks are more local--like those caused by Internet service providers that try to squeeze too many users onto a single T1 line.

Late last year Bob Metcalfe, widely acknowledged as the father of Ethernet, predicted the imminent collapse of the Internet. He paints a doomsday portrait of the Net as it buckles under the load of JavaScript applets, Internet phone calls, and, naturally, all those pornographic images clogging the network. Network engineers are adding capacity almost as fast as demand is rising, but over the next few years you can expect brownouts and occasional serious service outages on the Internet.

#### Lie 5: Beware of Hackers

The movies make it look so easy: A hacker sits down, taps a few keys, scrunches his face, taps another couple keys, and proclaims, We re in. Reality is far less interesting, as most online evildoers gain unauthorized access to Internet hosts by guessing user IDs and passwords, usually with specific targets and scripts that automatically try common words and phrases until they find one that works.

Computer security experts marvel at the unwarranted fear that average Net users display when it comes to online commerce, compared with the unwarranted confidence they place in conventional transactions. The odds of having your credit card number intercepted by a cyberpunk are comparable to the odds that you ll be abducted by aliens, yet most of us think nothing of dialing a toll-free number and rattling off a Visa number to the operator on the other end. Those numbers will probably wind up in a computer file somewhere, and it s that collection that s most likely to be purloined by hackers.

Ordinary users, especially those on part-time dial-up connections or behind corporate firewalls, are mostly safe, as long as they exercise routine precautions. You probably have more to fear from programs than from people--especially macros (like those in Microsoft Word documents) and applets (Java and otherwise) that execute automatically. Anyone who operates a full-time Web or mail server, on the other hand, needs to remain up-to-date on security alerts, password policies, and patches for operating systems and other server software.

A recent report from the Computer Security Institute suggests that the greatest threat to network security comes from bored or recently terminated employees, and that outside infiltrators account for a tiny fraction--less than 3 percent--of online attacks. In the future, the threats may directly affect national security, too. In a speech to computer security professionals earlier this year, Deputy Attorney General Jamie Gorelick warned against the coming cyberwar and called for the equivalent of the Manhattan Project . . . to help us harden our infrastructures against attack.

Sensationalism? Perhaps, but defense planners are taking the possibility seriously-just look at the agenda for the Fifth International Information Warfare Conference (www.ncsa.com/infowar1.html). After we read about how to wreak disaster with a few well-placed pickaxes, we had trouble sleeping.

Net Threats: Know Your Enemies

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Worldwide Hacker Shortage? The greatest threats to the Net come not from bored teenage hackers or even viruses, but from poorly trained workers, disgruntled employees, and Mother Nature.

Human Error: 55

Physical Security/Natural Disasters: 20

Dishonest/Disgruntled Employees: 19

Viruses: 4

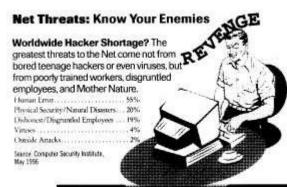
Outside Attacks: 2

Source: Computer Security Institute, May 1996

Lie 6: You Can Find Everything on the Net

If your question is very, very specific and if it s related to pop culture or computer science, you have a good chance of finding what you want. Brute-force search engines and the vast, unindexed bulk of the Internet's information store conspire against more thoughtful questions, though.

Bill Gates probably had something like the World Wide Web and a full-text index in mind when he first began musing about information at your fingertips years ago. But who would have expected it would come to this? According to Digital Equipment, in May of this year the AltaVista index hit 30 million pages, with another 3 million Usenet articles tossed in for fun. Not to be outdone, Excite announced its updated search engine with 50 million Web pages. The trouble with all that content is that it scrupulously follows Sturgeon's Law: Ninety percent of everything is crap.



Today's most successful search engines are the kind that work like close-up magicians doing a card trick. Pick a site, any site, they say, as long as it's one of the ones we ve included in our neatly categorized list. To find truly random information, like Babe Ruth's batting average in 1923, you'll need to master complex

Boolean logic (check out The Search Is Over, page 143) and then forget it all (because www.yankees.com appears to have been organized by George Costanza).

It's no surprise that career counselors say librarians will be in great demand over the next decade. They re the only ones who understand that sometimes your best bet is to look in a book.

Info Overflow: Out of Sorts

Too Much Percolating? The more popular a topic becomes, the more noise a simple search produces. Want to find useful information about Java the country? Good luck.

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Web Pages Including the Word Java:

Before Jan. 1, 1995: 400

Jan. 1 to June 30, 1995: 900

After June 30, 1995: 10,000



Lie 7: Cyberspace Is Vast and Unregulated

Vast, yes. Unregulated? Tell that to Phil Zimmermann or Kevin Mitnick or Robert and Carleen Thomas, all of whom have run up enormous legal bills responding to federal felony charges tied directly to their activities in cyberspace.

Zimmermann was accused of exporting cryptographic software that

was more powerful than allowed by State Department regulations. According to the Feds, who later dropped all charges, it is illegal to export cryptographic software unless you first acquire a special munitions export license, normally issued for weapons sales. The same law forces international software giants like Lotus and Netscape to produce watered-down versions of their software that can legally be carried over international borders on the Internet.

Mitnick was charged with 23 counts of computer fraud. As part of a plea bargain, he pleaded guilty to a charge of illegally using a telephone access device and one charge of computer fraud.

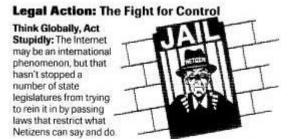
The Thomases ran an adult-oriented BBS called Amateur Action out of their hometown of Milpitas, California. On the Left Coast that was hardly news, but in Memphis, Tennessee, it was a shocking offense. Federal postal inspectors in Memphis downloaded files from the Thomases computer and promptly charged them with distributing material that was objectionable by community standards 2,000 miles away from the server s location. They were found guilty, despite never setting foot in Tennessee until their trial.

Anything you do on the Internet is potentially subject to the same rules, regulations, and laws that would apply if you did the same activity in a physical medium. Despite the relentless advocacy and common sense of groups like the Electronic Frontier Foundation (www.eff.org), cyberspace is not very friendly to civil rights, and in some cases publishers are less protected by the First Amendment than are their paper-and-ink cousins. Laws against obscenity, fraud, libel, trespassing, and conspiracy all apply. About the only thing that restricts the regulation of the Internet is its ability to cross international borders. Prosecutors in Tennessee would find it almost impossible to shut down a pornographer in Denmark.

The federal government earned all the headlines this year with the passage of the Communications Decency Act (CDA), a brand-new attempt at reining in the Net. But the states deserve dishonorable mention as well, for sponsoring (and in some cases passing) some truly lousy laws. Next time you get flamed in a newsgroup, for example, try to lure the offenders to Connecticut, where they can be charged with sending an online message with intent to harass, annoy, or alarm another person. While in Georgia, take a look at the Internet Police bill, which outlaws transmitting

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data, if such data uses any individual name, trade name, registered trademark, logo, legal or official seal, or copyrighted symbol . . . [when] permission or authorization has not been obtained. According to the lawyers who ve studied the fine print, if you established a link to The Real Thing on your home page, you d have to answer to the Coca-Cola Company.



Source Electronic Frontier Foundation

Law enforcement agencies and the rest of the criminal justice system are woefully ill-prepared to investigate high-tech crime. Still, it s encouraging that a three-judge panel in Philadelphia struck down the CDA after a government computer expert admitted he couldn't display a single piece of porn with SurfWatch installed on his PC. Even more encouraging was the crystal-clear statement of

principle expressed in the court's unanimous opinion: As the most participatory form of mass speech yet developed, the Internet deserves the highest protection from governmental intrusion.

Legal Action: The Fight for Control

Think Globally, Act Stupidly: The Internet may be an international phenomenon, but that hasn't stopped a number of state legislatures from trying to rein it in by passing laws that restrict what Netizens can say and do.

Number of states with laws that directly govern computer crimes: 47

Number of states where proposed laws restricting conduct on the Internet were introduced in 1995: 16

Number of states where such laws were ratified: 9

Source: Electronic Frontier Foundation

Lie 8: The Nuke-Proof Net

The intriguing myth that the Internet can survive a nuclear attack actually has a kernel of truth behind it. Pioneering research in the 1960s that led to ARPAnet (the ancestor of today's Internet) was funded by the Department of Defense. These researchers argued that a distributed, highly redundant, self-healing, packet-switching network was the best defense against unexpected disruptions in network traffic, like the kind that happen when one node on the network gets blown away.

In fact, military experts say that during the Gulf War in 1991, Iraq s TCP/IP network remained intact despite hundreds of direct hits from Allied smart bombs. Too bad the Pentagon s information warriors didn t try diverting packets headed for www.penthouse.com to Iraq s network instead--the extra traffic would have brought Saddam s network to its knees more effectively than any cruise missile.

In the United States, ARPAnet is history and MilNet (which handles secure military data communications) long ago split from the Net. Still, the basic structure of the Internet hasn't changed that much. Damage to isolated segments simply causes traffic

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to find other routes around the out-of-service sections. Extensive damage, especially it down part of the backbone, would almost certainly render the Net useless as a reliable communications tool. Let's hope we never find out for sure.

Lie 9: Online, You re Completely Anonymous

Oh yeah? If you think anything you do online is truly private, think again. That goes d you use the office computer to access the Internet.

Employers can legally read e-mail sent to and from your corporate account. According Privacy Rights Clearinghouse, the employer owns [the e-mail system] and is allowed its contents. Messages sent within the company as well as those that are sent from you to another company or from another company to you can be subject to monitoring by employer. And as Oliver North learned the hard way, deleting e-mail from your systed destroy all traces of it, especially at companies or government organizations that have comprehensive backup programs.

Web site administrators can gather a surprising amount of information about who you where you re from, just by scanning the headers on packets that arrive from your mach can store information in a cookies file, also known as client-side persistent informat what they can learn, visit the Center for Democracy and Technology at www.cdt.org, the CDT Privacy Demonstration button. For one possible cure, look at www.anonymiz.

There are at least four national phone directories on the Net (www.switchboard.com, f example), and anyone who can glean a bit of information about you can probably compicture by turning to one of these sources.

Anyone with access to your hard disk can see where you ve been Web surfing, simply through your cache and history files. Some companies have gone a step further, install software that keeps a log of every site you access from work.

Digital information lasts a long time. Some aspects of the Net are truly ephemeral, but Net users are chilled to find that search engines have made some types of information disturbingly persistent. Newsgroup archives, for example, may contain words written the expectation that they were being spoken in a contemporaneous debate among a harwell-wired friends. Try searching for your own name using a Web search engine and scomes back. You might be unpleasantly surprised.

#### Countdown: Civil Rights on the Internet

Tick, Tick, Tick: It's 11:52 do you know where your civil liberties are? Patterned after the famous Atomic Doomsday Clock, the Digital Doomsday Clock (www.io.org/~sherlock/ doom/doom/thmf) tracks the status of free speech in cyberspace. This site links to info about Net privacy.



Countdown: Civil Rights on t

Tick, Tick, Tick: Its 11:52--d where your civil liberties are? after the famous Atomic Door Clock, the Digital Doomsday (www.io.org/ sherlock/doom. tracks the status of free speecl cyberspace. This site links to Net privacy.

Lie 10: Spam Is Harmless

That s what the people who are flooding your e-mailbox and unrelated newsgroups wi unsolicited advertisements claim. Why not just press the Delete key? they ask. The protection cost, or even time (although that s a more precious resource than money for many the problem is signal-to-noise ratio. Already, some newsgroups have been so heavily that it s practically impossible to pick out the few on-topic posts lost in the welter of commouncements and indignant responses to them. And as electronic junk mail increase

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popularity, e-mail users will be forced to upgrade (at significant cost) to client software that can filter out unwanted messages.



Spamming is the spiritual descendant of high-pressure, boiler-room telephone sales scams, so it should come as no surprise that Spammasters often got their start selling bogus office supplies over the phone. The worst offenders use the same hitand-run tricks, too: phony names, nonexistent return addresses, and breathless pitches that sound too good to be true.

One of the best sources of information about Spam is the

Blacklist of Internet Advertisers, whose FAQ is available in the United States at www.cco .caltech.edu/ cbrown/BL. This detailed resource includes definitions of the subtle differences between the digital versions of Spam, Velveeta, and Jell-o, along with lists of infamous offenders and (mostly legal) tactics for dealing with Spam when you run across it. Best of all, the Blacklist includes a pointer to the legendary Monty Python Spam sketch, available as a transcript and an audio file.

Ham It Up: The Legal Implications

Busy Lawyers: Kraft and Hormel Foods, maker of the pressed-meat product known as Spam, have their hands full trying to protect their trademarks on the Internet.

Number of Web sites worldwide containing the word Spam: 10,000

Number of Web sites worldwide containing the word Jell-o: About 4,000

Number of Web sites worldwide containing the word Velveeta: About 600

Number of Web sites officially sponsored by Hormel and Kraft: 0

Mag.Coll.: 86M1522

Article A18706821

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Internet Usage

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#### Print, e mail, and other retrieval options

#### **Browser Print** — Full Content —

Reformat article with full size graphics for printing (approximately 10 pages) from your browser. To return to InfoTrac, use the *back* function of your browser.

#### Acrobat Reader — Full Content —

Retrieve article in originally published format for viewing and printing from Acrobat<sup>TM</sup> Reader. Please allow a few minutes for the retrieval operation to complete (6 full pages PDF)

#### E Mail Delivery — Te t Only —

We will send a plain text version to the e-mail address you enter (e.g. bettyg library.com).			
E-Mail Address:			
Subject (defaults to title):			
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THOMSON	Copyright and Terms of Use

# **EXHIBIT 12**

Statement on SPAM Use taken from Hormel's website at <a href="http://www.spam.com/ci/ci\_in.htm">http://www.spam.com/ci/ci\_in.htm</a>



You ve probably seen, heard or even used the term spamming to refer to the act of sending unsolicited commercial email (UCE), or spam to refer to the UCE itself. Following is our position on the relationship between UCE and our trademark SPAM.

Use of the term spam was adopted as a result of the Monty Python skit in which our SPAM meat product was featured. In this skit, a group of Vikings sang a chorus of spam, spam, spam... in an increasing crescendo, drowning out other conversation. Hence, the analogy applied because UCE was drowning out normal discourse on the Internet.

We do not object to use of this slang term to describe UCE, although we do object to the use of the word spam as a trademark and to the use of our product image in association with that term. Also, if the term is to be used, it should be used in all lower-case letters to distinguish it from our trademark SPAM, which should be used with all uppercase letters.

This slang term, which generically describes UCE, does not affect the strength of our trademark SPAM. In a Federal District Court case involving the famous trademark STAR WARS owned by LucasFilms, the Court ruled that the slang term used to refer to the Strategic Defense Initiative did not weaken the trademark and the Court refused to stop its use as a slang term. Other examples of famous trademarks having a different slang meaning include MICKEY MOUSE, to describe something as unsophisticated and CADILLAC, used to denote something as being high quality. It is only when someone attempts to trademark the word spam that we object to such use, in order to protect our rights in our famous trademark SPAM. We coined this term in 1937 and it has become a famous trademark. Thus, we don't appreciate it when someone else tries to make money on the goodwill that we created in our trademark or product image, or takes away from the unique and distinctive nature of our famous trademark SPAM. Let s face it. Today s teens and young adults are more computer savvy than ever, and the next generations will be even more so. Children will be exposed to the slang term spam to describe UCE well before being exposed to our famous product SPAM. Ultimately, we are trying to avoid the day when the consuming public asks, Why would Hormel Foods name its product after junk e-mail?

#### Position Statement on "Spamming"

We oppose the act of spamming or sending UCE. We have never engaged in this practice, although we have been victimized by it. If you have been one of those who has received UCE with a return address using our website address of SPAM.com, it wasn t us. It s easy and commonplace for somebody sending UCE to simply adopt a fake header ID, which disguises the true source of the UCE and makes it appear that it is coming from someone else. If you have or do receive UCE with this header ID, please understand that it didn t come from us.

#### Other "spam" Websites

This is the one and only official SPAM Website, brought to you by the makers of the SPAM Family of products. All of the others have been created by somebody else. We are not associated with those other websites and are not responsible for their content. As a Company, we are opposed to content that is obscene, vulgar or otherwise not family friendly. We support positive family values and you can count on us for safe surfing by your children.

Thank you for visiting the official SPAM Website For more information see Legal and Copyright Info



SPAM is a registered trademark of Hormel Foods Corporation.

# **EXHIBIT 13**

Hormel's April 4, 2000 letter to David Rosso (produced by Hormel)



Corporate Office

Hormel Foods, LLC 1 Hormel Place Austin MN 55912-3680

Writer's Direct Dial Number: (507) 437-5240 Law Department Fax Number: (507) 437-5135 Writer's E-Mail Address: mjcicchese@hormel.com

April 4, 2000

Mr. David Rosso 1732 East 30th St. Lorain, OH 44055-1718

RE: Our Trademark SPAM

Dear Mr. Rosso:

It recently came to our attention that you are portraying clip art of our SPAM® luncheon meat trademark and our trademark with a ban symbol over it on your website, where you discuss ways to combat unsolicited commercial e-mail ("UCE").

While we also oppose UCE and applaud your efforts in that regard, we object to your visual use of our trademark in connection with UCE. Because SPAM® luncheon meat is a high quality product and one which enjoys a very large following worldwide, we do not want it used as a symbol of the objectionable and unethical practice of sending UCE. We have no objection of your use of the slang term "spam," but we do object to increasing the negative association between our product and this practice. Thus, the slang term "spam" can be used, but only in all lower case letters rather than all capital letters. One way we distinguish between our trademark SPAM and the slang term "spam" is to put our trademark in all capital letters and the slang term in all lower case letters.

Thank you for your anticipated compliance with this matter. Once the word "spam" is converted to all lower case letters within the ban symbols, and the SPAM trademark logo at the top of your page is removed, you will be in compliance. Please feel free to contact me if you have any questions regarding this matter. Thank you for your anticipated cooperation.

Very truly yours,

MELANIE J. CICCHESE

Assistant General Attorney and Assistant Secretary

(507) 437-5240

krm

p:\disia\muc\0404.rome.doc

H 00182

# **EXHIBIT 18**

Diane R. Khirallah, *Spam by Any Other Name*, INFORMATIONWEEK, Jun. 4, 2001, at 17

Article 1 Page 1 of 2



🖶 Print



— Article 1 of 1

Information eek, June 4, 2001 p17

**Spam by any other name ...** (Company Business and Marketing)(Brief Article) *iane Rezendes hirallah*.

**Full Text:** COPYRIGHT 2001 All rights reserved. No part of this information may be reproduced, republished or redistributed without the prior written consent of CMP Media, Inc.

Is still something that the more cautious among us hesitate to open, be it junk E-mail or canned luncheon meat. And now, Hormel says it s not going to fight over use of its trademark. In fact, a spokeswoman says the confusion over SPAM (the meat) and spam (the junk E-mail) is actually helping the brand. Sales of its SPAM T-shirts, SPAM snow globes, and SPAM glow-in-the-dark boxers are up. It s really crept into popular culture, she says.

Next: The company plans a 16,000-square-foot museum, including an interactive tribute to SPAM over the years.

http://www.iweek.com/

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Article A75244602

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Pork Industry Marketing

Article 1 Page 2 of 2

2 Periodical references See also 170 other subdivisions InformationWeek, Jun 4, 2001 View other articles in this issue Print, e mail, and other retrieval options **Browser Print** — Full Te t — Reformat for printing (approximately 1 page) from your browser. To return to InfoTrac, use the back function of your browser. Acrobat Reader — Full Te t— Retrieve for viewing and printing from Acrobat<sup>TM</sup> Reader. Please allow a few minutes for the retrieval operation to complete (approximately 1 page) E Mail Delivery — Full Te t — We will send a plain text version to the e-mail address you enter (e.g. bettyg library.com). E-Mail Address: Subject (defaults to title): Submit E-mail Request Article 1 of 1 Copyright and Terms of Use

# **EXHIBIT 19**

Table including U.S. Patent and Trademark Office registrations and pending applications for marks including the word SPAM

MARK	REGISTRATION OR APPLICATION NUMBER AND BRIEF DESCRIPTION OF USE
SPAMKILLER	Reg. No. 2762980 (computer software for detecting and blocking electronic communications)
NATIONAL DO NOT SPAM REGISTRY	App. No. 78347112 (providing a nationwide Federal database for individuals who do not want to receive unsolicited commercial e-mail (UCE), also known as "spam," to register their e-mail addresses with the Federal Trade Commission (FTC) or other legally designated entity or entities, and for authorized persons and entities to download or otherwise consult such data for purposes of complying with any anti-spam provisions under applicable laws, regulations, and policies)
SPAM CUBE	App. No. 78411511 (computer hardware for filtering junk email)
SPAM ZAPPER	App. No. 78351787 (computer software for filtering unwanted email)
SPAMEATER*	App. No. 78315899 (computer software for accessing and filtering email)
SPAMBEAR	App. No. 78396221 (computer software for filtering unwanted email)
SPAMSTOMPER*	App. No. 78248113 (computer software for filtering unwanted email)
ETRUST EZ ANTI- SPAM	App. No. 78378464 (computer software for filtering unwanted email)
DSPAM	App. No. 78375968 (computer software)
SPAMLION*	App. No. 78246980 (computer software for use in email sender verification)
SPAMSHREDDER	App. No. 78372921 (computer software for filtering unwanted email)
SPAM SHREDDER	App. No. 78372918 (computer software for filtering unwanted email)
PAYSPAM	App. No. 78368177 (dissemination of advertising matter)
SPAM PAL	App. No. 78368174 (dissemination of advertising matter)

-	
SPAMPAY	App. No. 78368172 (dissemination of advertising matter)
SPAMGUARD	App. No. 78366679 (service that blocks unsolicited email)
SPAM CHECKPOINT*	App. No. 78234945 (computer database services)
WHAM! BAM! NO MORE SPAM!	App. No. 78362709 (computer software for filtering unwanted email)
SPAM EXTRACT	App. No. 78362646 (computer software for filtering unwanted email)
SPAMSENTINEL	App. No. 78416497 (computer software for filtering unwanted email)
SURFCONTROL ANTI-SPAM AGENT	App. No. 78158162 (computer software for filtering unwanted email)
SPAM POLICE	App. No. 78412800 (computer networking hardware)
POSTINI ANTI-SPAM ENGINE (PASE)	App. No. 78293083 (electronic mail management and security services)
SPAM XPLODER	App. No. 78285608 (email and spam filtering services)
SPAM SAFE	App. No. 78358894 (Internet web hosting anti-spam solution blocking unwanted e-mail)
ACTIVESTATE FIELD GUIDE TO SPAM	App. No. 78276337 (providing an online repository of advanced "tricks" represented as HTML code used by senders of unsolicited email to hide their messages from email filters)
MAKING SPAM HISTORY	App. No. 78259936 (computer software for the detection, blocking, filtering or modification of unsolicited, bulk, and/or junk electronic messages)
MAKING SPAM HISTORY	App. No. 78259922 (services aimed at reducing junk e-mail, recognizing, detecting and analyzing unsolicited bulk e-mail and creating countermeasures to reduce, combat and prevent unwanted e-mail)
SPAM SMACKER	App. No. 78228929 (computer software to filter junk mail from e-mail servers)
NO SPAM TODAY!	App. No. 78323972 (computer software for use in blocking unwanted electronic mail transmitted via computer)

SPAMFREE	App. No. 78184381 (computer software filtering out unwanted e-mails)
YOU'VE GOT SPAM*	App. No. 78132563 (computer software for use in blocking unwanted electronic mail) NOTE: ABANDONED AFTER APPROVED FOR PUBLICATION
BLOCK ALL SPAM	App. No. 78251751 (computer services screening and authenticating the source of incoming e-mail)
SPAMAWARE	App. No. 78292045 (computer software for detecting, blocking, removing, and evading electronic communications)
SPAMERASER	App. No. 78290920 (computer programs for blocking, preventing delivery, and deleting unwanted electronic messages)
SPAMFILTER.COM	App. No. 78285613 (computer email and spam filtering services)
SPAM MARSHALL	App. No. 78349551 (software for blocking spam and junk email from inboxes and servers)
SPAM CALCULATOR	App. No. 78253601 (software and services regarding unsolicited emails)
SPAMTAG	App. No. 78243175 (denoting unsolicited commercial email received as spam by adding a SPAMTAG to the recipient's subject line.)
SPAMSWATTER	App. No. 76547186 (software for blocking of unwanted email and advertisements)
SPAMTRAQ	App. No. 76545372 (Internet based computer protection services, featuring, anti-virus and anti-spam services)
SPAMTRAQ	App. No. 76545373 (computer software for security, authentication and virus and spam detection, prevention and removal)
KILL SPAM DEAD*	App. No. 76547165 (software for blocking of unwanted email and advertisements)
SPAM WASHER*	App. No. 76414318 (computer software, namely software to reduce unsolicited electronic mailings directed at a user's electronic mailing address) NOTE: ABANDONED AFTER APPROVED FOR PUBLICATION
SPAM TERMINATE	App. No. 76575862 (computer software development tools)

SPAMAWAY*	App. No. 76457236 (computer software downloadable via the Internet for screening and blocking unwanted e-mail) NOTE: ABANDONED AFTER APPROVED FOR PUBLICATION
SPAMZAP *	App. No. 76410067 (software designed to prevent unauthorized access to email addresses and to eliminate unsolicited email) NOTE: ABANDONED AFTER INTER PARTES TTAB ACTION
SPAM ZAPPER *	App. No. 76410066 (computer software designed to prevent unauthorized access to email addresses and to eliminate unsolicited email) NOTE: ABANDONED AFTER INTER PARTES TTAB ACTION
SPAM GENE	App. No. 76562611 (computer software for detecting, filtering, monitoring, reporting, blocking, removing, and preventing unsolicited, bulk, unwanted or content-inappropriate electronic mail)
SPAM DNA	App. No. 76562609 (computer software for detecting, filtering, monitoring, reporting, blocking, removing, and preventing unsolicited, bulk, unwanted or content-inappropriate electronic mail)
SPAMFROG	App. No. 76517356 (computer software to filter and block unsolicited electronic messages)
SPAM TERMINATOR*	76452922 (computer software development tools) OPPOSITION PENDING BEFORE TTAB
SPAMAZING	76567883 (telephone and email authentication software)
SPAMXTERMINATOR	App. No. 76549097 (software for blocking of unwanted email and advertisements)
SPAM-O-MATIC	App. No. 76555232 (computer services redirecting junk electronic mail based on user preferences)
REVENGE ANTI- SPAM	App. No. 76554202 (computer software for actively identifying and filtering an unsolicited message; and for redirecting an unsolicited message to its origin with a cease and desist request)
SPAMSMART	App. No. 76534547 (on-line electronic mail management, routing, and delivery services)
SPAMEXTERMINA- TOR	App. No. 76549203 (software for blocking of unwanted email and advertisements)

CP SPAMSHIELD	App. No. 76471325 (computer services for screening and deleting unwanted emails from global or publicly accessible computer networks)
IHATESPAM *	App. No. 76416684 (computer software to filter and quarantine unwanted, unsolicited and/or inappropriate e-mail) OPPOSITION PENDING BEFORE TTAB
SPAMDUNK *	App. No. 75950568 (software designed to filter junk(spam)email from regular email) NOTE: ABANDONED AFTER INTER PARTES TTAB ACTION
SPAMGUARD *	App. No. 75892556 (Electronic mail services) NOTE: ABANDONED AFTER INTER PARTES TTAB ACTION
SPAM SENTRY *	App. No. 75556121 (designing and implementing custom web interface for users of the global computer information network to enable them to create and maintain personalized e-mail filters) NOTE: ABANDONED AFTER INTER PARTES TTAB ACTION
SPAMSCREEN*	Reg. No. 2452248 (computer software used to filter email) NOTE: CANCELLED
THIS IS NO SPAM!*	Reg. No. 2213532 (direct mail advertising services) NOTE: CANCELLED

An asterisk indicates that the mark has been approved for publication in the Official Gazette of the Trademark Office.

# **EXHIBIT 30**

Table including U.S. Patent and Trademark Office registrations for selected marks including the word APPLE



# Trademark Electronic earch ystem Tess

TESS was last updated on Wed May 60: :1 E T 00

PTO HOME TRADEMARK TESS HOME NEW USER STRUCTURED FREE FORM BROWSE DICT HELP

Logout Please logout when you are done to release system resources allocated for you.

# Record 1 out of 1

Check Status

(TARR contains current status, correspondence address and attorney of record for this mark. Use the "Back" button of the Internet Browser to return to TESS)

## **Typed Drawing**

Word Mark HOT APPLE BLAST

Goods and IC 032. US 045 046 048. G & S: Apple Cider-Based Beverage. FIRST USE:

19951200. FIRST USE IN COMMERCE: 19951200 **Services** 

**Mark Drawing** 

(1) TYPED DRAWING Code

76425240 **Serial Number** June 27, 2002 **Filing Date** 

**Current Filing** 

1**A Basis** 

**Original Filing** 1A

**Basis** 

**Published for** 

January 7, 2003 **Opposition** 

Registration 2702491 Number

April 1, 2003 **Registration Date** 

**Owner** (REGISTRANT) Arabica Funding, Inc. CORPORATION DELAWARE 445

Broad Hollow Road, Suite 239 Melville NEW YORK 11747

**Attorney of** 

Travis L. Bachman Record

NO CLAIM IS MADE TO THE EXCLUSIVE RIGHT TO USE HOT APPLE Disclaimer

APART FROM THE MARK AS SHOWN

**TRADEMARK** Type of Mark Register **PRINCIPAL** 

Live/Dead LIVE **Indicator** 

Latest Status Info Page 1 of 2

Thank you for your request. Here are the latest results from the TARR web server.

This page was generated by the TARR system on 2004-05-27 00:15:13 ET

Serial Number: 76425240

**Registration Number: 2702491** 

Mark (words only): HOT APPLE BLAST

Standard Character claim: No.

**Current Status: Registered.** 

**Date of Status: 2003-04-01** 

Filing Date: 2002-06-27

Transformed into a National Application: No

**Registration Date: 2003-04-01** 

**Register: Principal** 

Law Office Assigned: TMO Law Office 114

If you are the applicant or applicant's attorney and have questions about this file, please contact the Trademark Assistance Center at Trademark Assistance Center @uspto.gov

**Current Location:** 900 - Warehouse (Newington)

**Date In Location: 2003-04-08** 

#### LAST APPLICANT(S)/OWNER(S) OF RECORD

1. Arabica Funding, Inc.

#### **Address:**

Arabica Funding, Inc. 445 Broad Hollow Road, Suite 239 Melville, NY 11747 **United States** 

**Legal Entity Type: Corporation** 

**State or Country of Incorporation: Delaware** 

#### GOODS AND/OR SERVICES

Apple Cider-Based Beverage **International Class: 032** 

Latest Status Info Page 2 of 2

First Use Date: 1995-12-00

First Use in Commerce Date: 1995-12-00

Basis: 1(a)

#### ADDITIONAL INFORMATION

**Disclaimer: HOT APPLE** 

#### MADRID PROTOCOL INFORMATION

(NOT AVAILABLE)

#### PROSECUTION HISTORY

2003-04-01 - Registered - Principal Register

2003-01-07 - Published for opposition

2002-12-18 - Notice of publication

2002-10-29 - Approved for Pub - Principal Register (Initial exam)

2002-10-25 - Case file assigned to examining attorney

#### **CONTACT INFORMATION**

## **Correspondent (Owner)**

Travis L. Bachman (Attorney of record)

TRAVIS L. BACHMAN DORSEY & WHITNEY LLP SUITE 1500, 50 SOUTH SIXTH STREET MINNEAPOLIS, MINNESOTA 55402-1498 United States

**Phone Number:** (612) 340-2656 **Fax Number:** (612) 340-8856



# Trademark Electronic earch vstem Tess

TESS was last updated on Wed May 60: :1 E T 00

NEW USER PTO HOME TRADEMARK TESS HOME STRUCTURED FREE FORM BROWSE DICT Воттом HELP PREV LIST CURR LIST NEXT LIST FIRST DOC PREV DOC NEXT DOC LAST DOC

Logout Please logout when you are done to release system resources allocated for you.

Record 1 out of 20 Start List At: OR Jump to record:

Check Status (TARR contains current status, correspondence address and attorney of record for this mark. Use the "Back" button of the Internet Browser to return to TESS)

## **Typed Drawing**

Word Mark ADAMS APPLE

IC 031. US 001 046. G & S: live fruit trees, namely, apple trees for commercial Goods and orchards. FIRST USE: 20010209. FIRST USE IN COMMERCE: 20021102 **Services** 

**Mark Drawing** 

(1) TYPED DRAWING Code

**Serial Number** 78133688 June 6, 2002 **Filing Date** 

**Current Filing** 

1A **Basis** 

**Original Filing** 1B

**Basis** 

**Published for** 

August 5, 2003 **Opposition** 

Registration 2828553 Number

**Registration Date** March 30, 2004

**Owner** (REGISTRANT) Van Well Nursery, Inc. CORPORATION WASHINGTON 2821

Grant Road East Wenatchee WASHINGTON 98101

Attorney of

PATRICK H. BALLEW Record

**Disclaimer** NO CLAIM IS MADE TO THE EXCLUSIVE RIGHT TO USE APPLE

APART FROM THE MARK AS SHOWN

TRADEMARK Type of Mark

**Register** PRINCIPAL

Live/Dead LIVE Indicator

РТО НОМЕ	TRADEMARK	TESS HOME	NEW USER	STRUCTURED	FREE FORM	Browse Dict	Тор	HELP	PREV LIST
CURR LIST	NEXT LIST	FIRST DOC	PREV DOC	NEXT DOC	LAST DOC				

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Page 1 of 3 Latest Status Info

Thank you for your request. Here are the latest results from the TARR web server.

This page was generated by the TARR system on 2004-05-27 00:11:39 ET

Serial Number: 78133688

**Registration Number: 2828553** 

Mark (words only): ADAMS APPLE

Standard Character claim: No.

**Current Status: Registered.** 

**Date of Status: 2004-03-30** 

Filing Date: 2002-06-06

Transformed into a National Application: No

**Registration Date: 2004-03-30** 

**Register: Principal** 

Law Office Assigned: LAW OFFICE 116

If you are the applicant or applicant's attorney and have questions about this file, please contact the Trademark Assistance Center at Trademark Assistance Center @uspto.gov

**Current Location:** 900 - Warehouse (Newington)

**Date In Location: 2004-04-06** 

#### LAST APPLICANT(S)/OWNER(S) OF RECORD

1. Van Well Nursery, Inc.

**Address:** 

Van Well Nursery, Inc. 2821 Grant Road East Wenatchee, WA 98101

**United States** 

**Legal Entity Type: Corporation** 

**State or Country of Incorporation: Washington** 

#### GOODS AND/OR SERVICES

live fruit trees, namely, apple trees for commercial orchards

**International Class: 031** 

Latest Status Info Page 2 of 3

First Use Date: 2001-02-09

First Use in Commerce Date: 2002-11-02

Basis: 1(a)

#### ADDITIONAL INFORMATION

**Disclaimer: APPLE** 

#### MADRID PROTOCOL INFORMATION

(NOT AVAILABLE)

#### PROSECUTION HISTORY

2004-03-30 - Registered - Principal Register

2004-01-23 - Allowed for Registration - Principal Register (SOU accepted)

2004-01-15 - Case file assigned to examining attorney

2004-01-14 - Case File in TICRS

2003-12-16 - Statement of use processing complete

2003-12-16 - Amendment to Use filed

2003-12-22 - TEAS Change of Correspondence Received

2003-12-16 - TEAS Statement of Use Received

2003-10-28 - Notice of allowance - mailed

2003-08-05 - Published for opposition

2003-07-16 - Notice of publication

2003-05-15 - Approved for Pub - Principal Register (Initial exam)

2003-05-15 - EXAMINERS AMENDMENT E-MAILED

2003-03-31 - Communication received from applicant

2003-03-31 - PAPER RECEIVED

2002-11-12 - Unresponsive paper received

2002-11-12 - PAPER RECEIVED

Latest Status Info Page 3 of 3

2002-09-30 - Non-final action mailed

2002-09-26 - Case file assigned to examining attorney

## **CONTACT INFORMATION**

## **Correspondent (Owner)**

PATRICK H. BALLEW (Attorney of record)

Patrick H. Ballew Stratton Ballew PLLC 213 South 12th Avenue Yakima WA 98902

**Phone Number:** 509-453-1319 **Fax Number:** 509-453-4704



## Trademark Electronic Search System(Tess)

TESS was last updated on Wed May 26 04:44:17 EDT 2004

PTO HOME TRADEMARK TESS HOME NEW USER STRUCTURED FREE FORM BROWSE DICT BOTTOM HELP

Logout Please logout when you are done to release system resources allocated for you.

# Record 1 out of 1

Check Status

(TARR contains current status, correspondence address and attorney of record for this mark. Use the "Back" button of the Internet Browser to return to TESS)

#### **Typed Drawing**

Word Mark CRANBERRY APPLE ZINGER

Goods and Services IC 030. US 046. G & S: Teas. FIRST USE: 20011100. FIRST USE IN COMMERCE: 20011100

**Mark Drawing** 

Code

(1) TYPED DRAWING

Serial Number 76227157 Filing Date March 20, 2001

**Current Filing** 

Basis

1**A** 

**Original Filing** 

Dagia

Basis

1B

Published for Opposition

June 18, 2002

Registration

Number 2782464

**Registration Date** November 11, 2003

Owner (REGISTRANT) Celestial Seasonings, Inc. CORPORATION DELAWARE 4600 Sleepytime Drive

Boulder COLORADO 80301

Attorney of Record Robert W. Smith

**Prior Registrations** 1390142;1390146;1481773;1515651;1834824;1948634;AND OTHERS

**Disclaimer** NO CLAIM IS MADE TO THE EXCLUSIVE RIGHT TO USE "CRANBERRY APPLE" APART

FROM THE MARK AS SHOWN

Type of Mark TRADEMARK
Register PRINCIPAL

Live/Dead LIVE Indicator

PTO HOME TRADEMARK TESS HOME NEW USER STRUCTURED FREE FORM BROWSE DICT TOP HELP

HOME | INDEX | SEARCH | SYSTEM ALERTS | BUSINESS CENTER | NEWS&NOTICES | CONTACT US | PRIVACY STATEMENT

Latest Status Info Page 1 of 2

Thank you for your request. Here are the latest results from the TARR web server.

This page was generated by the TARR system on 2004-05-27 00:07:08 ET

Serial Number: 76227157

**Registration Number: 2782464** 

Mark (words only): CRANBERRY APPLE ZINGER

Standard Character claim: No

**Current Status: Registered.** 

**Date of Status: 2003-11-11** 

Filing Date: 2001-03-20

Transformed into a National Application: No

Registration Date: 2003-11-11

**Register: Principal** 

Law Office Assigned: LAW OFFICE 114

If you are the applicant or applicant's attorney and have questions about this file, please contact the Trademark Assistance Center at <a href="mailto:TrademarkAssistanceCenter@uspto.gov">TrademarkAssistanceCenter@uspto.gov</a>

**Current Location:** 900 -Warehouse (Newington)

Date In Location: 2003-11-18

#### LAST APPLICANT(S)/OWNER(S) OF RECORD

1. Celestial Seasonings, Inc.

Address:

Celestial Seasonings, Inc. 4600 Sleepytime Drive Boulder, CO 80301 United States

**Legal Entity Type: Corporation** 

**State or Country of Incorporation: Delaware** 

## GOODS AND/OR SERVICES

Teas

International Class: 030 First Use Date: 2001-11-00

First Use in Commerce Date: 2001-11-00

Basis: 1(a)

#### ADDITIONAL INFORMATION

**Disclaimer:** "CRANBERRY APPLE"

Latest Status Info Page 2 of 2

#### **Prior Registration Number(s):**

1390142

1390146

1481773

1515651

1834824

1948634

#### MADRID PROTOCOL INFORMATION

(NOT AVAILABLE)

#### PROSECUTION HISTORY

2003-11-11 - Registered - Principal Register

2003-09-16 - Allowed for Registration - Principal Register (SOU accepted)

2003-08-26 - Case file assigned to examining attorney

2003-08-25 - Case File in TICRS

2003-08-06 - Statement of use processing complete

2003-07-15 - Amendment to Use filed

2003-07-15 - TEAS Statement of Use Received

2003-06-24 - Notice of allowance - mailed

2002-06-18 - Published for opposition

2002-05-29 - Notice of publication

2001-09-26 - Approved for Pub - Principal Register (Initial exam)

2001-09-26 - Examiner's amendment mailed

2001-05-18 - Non-final action mailed

2001-05-15 - Case file assigned to examining attorney

## **CONTACT INFORMATION**

## **Correspondent (Owner)**

Robert W. Smith (Attorney of record)

ROBERT W. SMITH MCCARTER AND ENGLISH, LLP FOUR GATEWAY CENTER 100 MULBERRY STREET NEWARK, NJ 07101-0652

**Phone Number:** (973) 622-4444 **Fax Number:** (973) 624-7070

# **EXHIBIT 32**

Table including U.S. Patent and Trademark Office registrations for marks including the word ARREST

MARK	LIVE/DEAD STATUS	REG. NO. AND BRIEF DESCRIPTION OF USE
SMOKE ARREST	LIVE	2626274 (nutritional supplement to stop nicotine cravings)
TICK ARREST	LIVE	2107765 (flea and tick collars)
SPARKARREST	LIVE	2532426 (digital readout device that deters fire on electrodes by controlling sparking of the electrode)
MICRO PARTICLE ARREST	LIVE	1881431 (disposable vacuum cleaner filter bags)
RUN ARREST	DEAD	1781993 (non-aerosol spray for stopping runs in nylon hosiery)
MITE ARREST	DEAD	1701398 (pesticide used to control mites in laboratory animals)
FUNGARREST	DEAD	1692369 (topical ointment, cremes, lotions and powders to stop fungus foot)
PENETONE DUST-ARREST	LIVE	1651152 (dust suppressants for industrial use)
HERP-ARREST	DEAD	1295558 (topical treatment for cold sores, fever blisters, sun blisters, and herpes simplex)

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

HORMEL FOODS CORPORATION, and HORMEL FOODS, LLC,  Petitioners,  v.  SPAM ARREST LLC,  Registrant.  BOX TTAB NO FEE  Assistant Commissioner for Trademarks 2900 Crystal Drive Arlington, VA 22202-3513	Cancellation No. 92,042,143  Mark: SPAM ARREST  Reg. No.: 2,701,493  Filing Date: November 27, 2001  Registration Date: March 25, 2003			
CERTIFICATE UNDER 37 C.F.R. § 1.8: Express Mail mailing label number  The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service, "Express Mail Post Office to Addressee" in an envelope addressed to: BOX TTAB NO FEE, Assistant Commissioner for Trademarks, 2900 Crystal Drive, Arlington, VA 22202-3513, on May 27, 2004.				

## <u>DECLARATION OF CAMERON ELLIOTT</u> IN SUPPORT OF MOTION FOR SUMMARY JUDGMENT

Cameron Elliott declares as follows:

Diana Au

- 1. I am the President of Registrant Spam Arrest LLC ("Spam Arrest"), and I make this declaration based upon my own personal knowledge.
- 2. Spam Arrest creates and sells a product (the "Product") under the registered trademark

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CANCELLATION NO. 92,042,143
DECLARATION OF CAMERON ELLIOTT – PAGE 1 of 4

505 Fifth Avenue South, Suite 610 Seattle, Washington 98104 phone: (206) 274-2800 fax: (206) 274-2801 SPAM ARREST. The Product is computer software that monitors and filters unsolicited

email messages.

4.

3. Spam Arrest and its licensed distributors sell the Product exclusively over the Internet.

Spam Arrest does not sell, and has not granted any other party the right to sell, the

Product via any other marketing channels.

I oversee Spam Arrest's customer service department. Our employees in that department

routinely ask our customers where they buy computer software. Our customers regularly

and consistently report that they buy software via the Internet, not in shopping malls or

other "brick-and-mortar" stores. In fact, our customers report that they make **most** of

their purchases over the Internet, whether they are buying software or any other item.

5. Spam Arrest first used the trademark SPAM ARREST on August 24, 2002.

6. Spam Arrest would not have used the word "spam" in its trademark if the Petitioners in

the above captioned action had aggressively enforced their SPAM trademark. By failing

to do so and allowing the mark to become a commonly used term meaning unsolicited

commercial email, Petitioners allowed the principals of Spam Arrest to believe that the

word is free for anyone to use to describe email-related products. I still believe that the

word "spam" is free for anyone to use in that manner. In selecting a trademark for the

Product, Spam Arrest's principals relied on the fact that "spam" is a widely used term to

describe unsolicited commercial email.

7. Spam Arrest does not sell its Product on <ebay.com>, and has never sold its Product

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there.

I declare under penalties of perjury under the laws of the United States of America that the foregoing is true and correct, to the best of my knowledge and belief.

Dated this 27th day of May, 2004.

Cameron Elliott

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CANCELLATION NO. 92,042,143
DECLARATION OF CAMERON ELLIOTT – PAGE 3 of 4

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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

HORMEL FOODS CORPORATION, and HORMEL FOODS, LLC,	Cancellation No. 92,042,143
Petitioners,	Mark: SPAM ARREST  Reg. No.: 2,701,493
v. SPAM ARREST LLC,	Filing Date: November 27, 2001
Registrant.	Registration Date: March 25, 2003
BOX TTAB NO FEE Assistant Commissioner for Trademarks 2900 Crystal Drive Arlington, VA 22202-3513	

# <u>DECLARATION OF LEA KNIGHT</u> IN SUPPORT OF MOTION FOR SUMMARY JUDGMENT

Express Mail mailing label number

The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service, "Express Mail Post Office to Addressee" in an envelope addressed to: BOX TTAB NO FEE, Assistant Commissioner for Trademarks, 2900 Crystal Drive, Arlington, VA

Lea Knight declares as follows:

CERTIFICATE UNDER 37 C.F.R. § 1.8:

22202-3513, on May 27, 2004.

Diana Au

I am the principal of FBK Research in Seattle, Washington. My expertise includes
research and facilitation for strategic business decisions, including advertising and public
opinion analysis. A true and correct copy of my resume is attached as Exhibit A. I make

NEWMAN & NEWMAN, ATTORNEYS AT LAW, LLP

CANCELLATION NO. 92,042,143 DECLARATION OF LEA KNIGHT – PAGE 1

505 Fifth Avenue South, Suite 610 Seattle, Washington 98104 phone: (206) 274-2800 fax: (206) 274-2801

- this declaration based upon my own personal knowledge.
- 2. I have reviewed The Mantis Group's report ("Mantis Survey") prepared for Petitioner's counsel in the above captioned action. The report is entitled, "Assessing Whether the Name 'Spam Arrest' Has Attained Secondary Meaning and Whether It Dilutes the Distinctiveness of Hormel's SPAM Trademark," and is dated January 2004.
- 3. After reviewing the Mantis Survey, I have concluded that its methodological, procedural, and design flaws are substantial enough that its results are neither representative nor credible, and that the results of the Mantis Survey should be disregarded. A true and correct copy of my analysis of the Mantis Survey is attached as Exhibit B.

I declare under penalties of perjury under the laws of the United States of America that the foregoing is true and correct, to the best of my knowledge and belief.

Dated this  $\frac{27}{}$  day of May, 2004.

Lea Knight

CANCELLATION NO. 92,042,143

# EXHIBIT A to Knight Decl. Curriculum Vitae of Lea Knight

#### **Consultant Bio:**

Lea Knight FBK Research 3607 NW 60<sup>th</sup> Street Seattle, WA 98107 206.783.9555 Lea@fbkresearch.com

## Work History:

1996 -: FBK Research, Owner

Primary responsibilities include designing and executing research.

1995-1996: Elway Research, Inc.

Primary responsibilities included strategic planning, organizational development and change, and management of specific research and

facilitation projects.

1983-1994: Altair Research, Inc., President

Responsibilities included all facets of business operations, including the

design and execution of market research.

#### **Education:**

1982-1983 University of Colorado at Denver

Graduate Work in MBA Program with a concentration in Marketing

Research.

1976-1980: University of Washington

Degree in Business Administration with an emphasis in Marketing and

Organizational Development

Lea is a customer strategist & research expert with close to 25 years of marketing research experience. Her experience covers all areas of market research including study design, instrument design, data collection, moderation, analysis and report writing.

Lea left graduate school in order to open Altair Research, Inc. in 1983. Altair Research, Inc. was listed three years running by the *Puget Sound Research Journal* as one of the 25 largest women-owned businesses in the Puget Sound Region.

Over the course of her career, Lea has managed well over 3,000 research projects and has worked in a wide variety of industries on a broad range of topics. Most of her work is with private, for-profit organizations. Some of her clients include:

3Comm Group Health Cooperative

7<sup>th</sup>-Day Adventist Healthcare System McDonald's
American Express MacTarnahan's
AT&T Wireless Microsoft

Agilent Technologies (formerly Hewlett Network Commerce

Packard) NexTag.com

Bank of America

Burke Museum

Pemco Insurance

Poeing

Pyramid Breweries

Seattle Opera

Classmates.com
Click2Learn
Clorox. Inc.

Seattle Public Utilities
Sumitomo Bank
U S WEST

Coinstar U S WEST Direct

Community Health Plan of Washington University of Washington Hospital and

Conversay Medical Center

Evergreen Hospital Westin

Gevity Weyerhaeuser

Over the past five years, Lea has enjoyed long-term relationships with Microsoft and PEMCO Mutual Insurance Company. With Microsoft, Lea collaborated on projects for:

- Microsoft Office 2003 (launch)
- Microsoft Project 2003 and beyond
- Microsoft FrontPage 2003 and beyond
- Microsoft Publisher 2003 and beyond
- Microsoft New Products and Technologies
- Mice and Keyboard Awareness and Usage
- Gaming Device Usage

Lea's multi-year relationships with other companies have brought her the opportunity to conduct research and provide strategic direction in these areas:

- Corporate culture assessment
- Customer metrics
- Branding, advertising and collateral
- Product development
- Channel strategy

Over the course of her career, Lea has managed well over 3,000 research projects and has worked in a wide variety of industries on a broad range of topics. Most of her work is with private, for-profit organizations. Some of her clients include:

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Bank of America

Burke Museum

Pemco Insurance

Poeing

Pyramid Breweries

Seattle Opera

Classmates.com
Click2Learn
Clorox. Inc.

Seattle Public Utilities
Sumitomo Bank
U S WEST

Coinstar U S WEST Direct

Community Health Plan of Washington University of Washington Hospital and

Conversay Medical Center

Evergreen Hospital Westin

Gevity Weyerhaeuser

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- Customer metrics
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- Product development
- Channel strategy

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

HORMEL FOODS CORPORATION, and HORMEL FOODS, LLC,  Petitioners,  v.  SPAM ARREST LLC,  Registrant.  BOX TTAB NO FEE  Assistant Commissioner for Trademarks 2900 Crystal Drive Arlington, VA 22202-3513	Cancellation No. 92,042,143  Mark: SPAM ARREST  Reg. No.: 2,701,493  Filing Date: November 27, 2001  Registration Date: March 25, 2003
CERTIFICATE UNDER 37 C.F.R. § 1.8: Express Mail mailing label number  The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service, "Express Mail Post Office to Addressee" in an envelope addressed to: BOX TTAB NO FEE, Assistant Commissioner for Trademarks, 2900 Crystal Drive, Arlington, VA 22202-3513, on May 27, 2004.	

# <u>DECLARATION OF SARA HILL</u> IN SUPPORT OF MOTION FOR SUMMARY JUDGMENT

Sara Hill declares as follows:

Diana Au

 I am a legal assistant at Newman & Newman LLP, which firm is counsel for Spam Arrest LLC ("Registrant") in the above captioned action. I make this declaration based upon my own personal knowledge.

NEWMAN & NEWMAN, ATTORNEYS AT LAW, LLP

CANCELLATION NO. 92,042,143 DECLARATION OF SARA HILL – PAGE 1 of 2

505 Fifth Avenue South, Suite 610 Seattle, Washington 98104 phone: (206) 274-2800 fax: (206) 274-2801 2. On May 26, 2004, I performed a search for the term "spam arrest" on the Internet search

engines <google.com> and <yahoo.com>. Both searches returned hundreds of search

results. Almost all of these results referred to Registrant itself. Some of them referred to

criminal proceedings against people who had sent unsolicited commercial email, for

example, "Kilgore Announces Nation's First Felony Spam Arrest". Other than the

references to Registrant's product, none of the search results referred to spam filtering

software.

I declare under penalties of perjury under the laws of the United States of America that the

foregoing is true and correct, to the best of my knowledge and belief.

Dated this 26th day of May, 2004.

Sara Hill